

## Week 08: Light-Matter Interactions

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# Lecture Overview

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- Momentum of light and optical tweezers
- Plasmon resonance and stimuli responsive gels

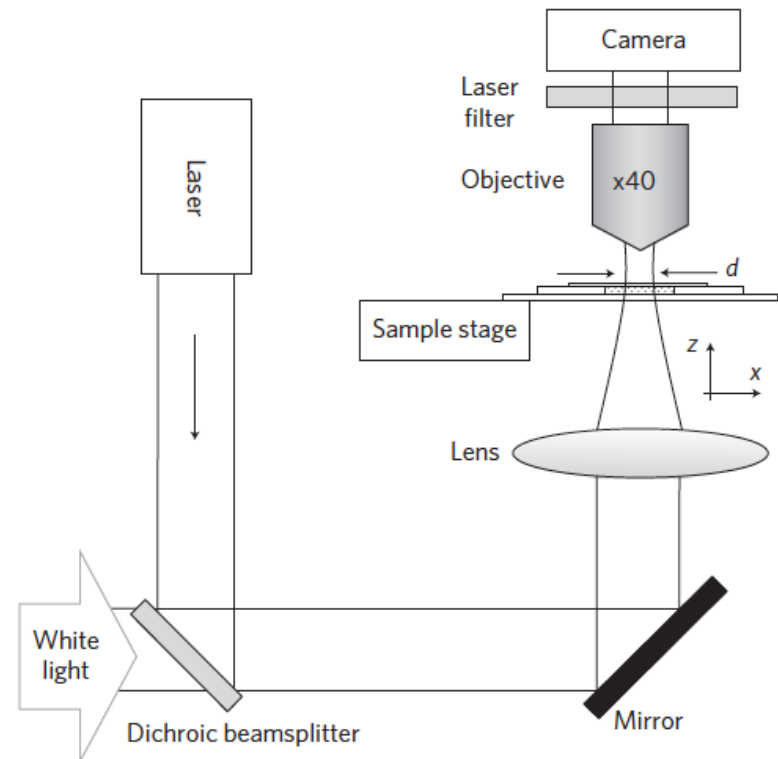
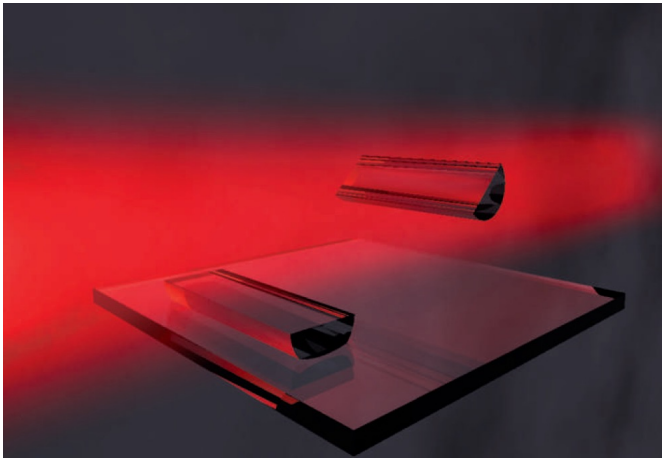
# Beam-powered propulsion or Light craft (**video**)

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- Solar (light) Sails
  - Radiation pressure exerted by the light source
  - Einstein's relation:  $p = E/c$  (E: energy of the photon or flux, c: speed of light)
  - Thin reflective mirror
  - Analogous to sailing boat; light-mirror vs wind-sail
- Laser-energized Rocket
  - **Thermal rockets:** Laser beam heats the liquid propellant and converts it to gas. Exhaust.
  - **Ablative propulsion:** External pulsed-laser burn off a plasma plume from a solid metal propellant or evaporate/sublimate material. Ablated material applies a pulse of high pressure to the surface underneath as it expands (hammer).

# Optical Lift

- Analogous to aerodynamic lift
- Cambered refractive object with differently shaped top and bottom surfaces: experience transverse lift under uniform stream of light
- A few milliWatts of focused laser generate force in picoNewton range
- Gradient force is negligible,
- Light scattering generates the lift
- Hemicylinders
- Stable attack angle: 55

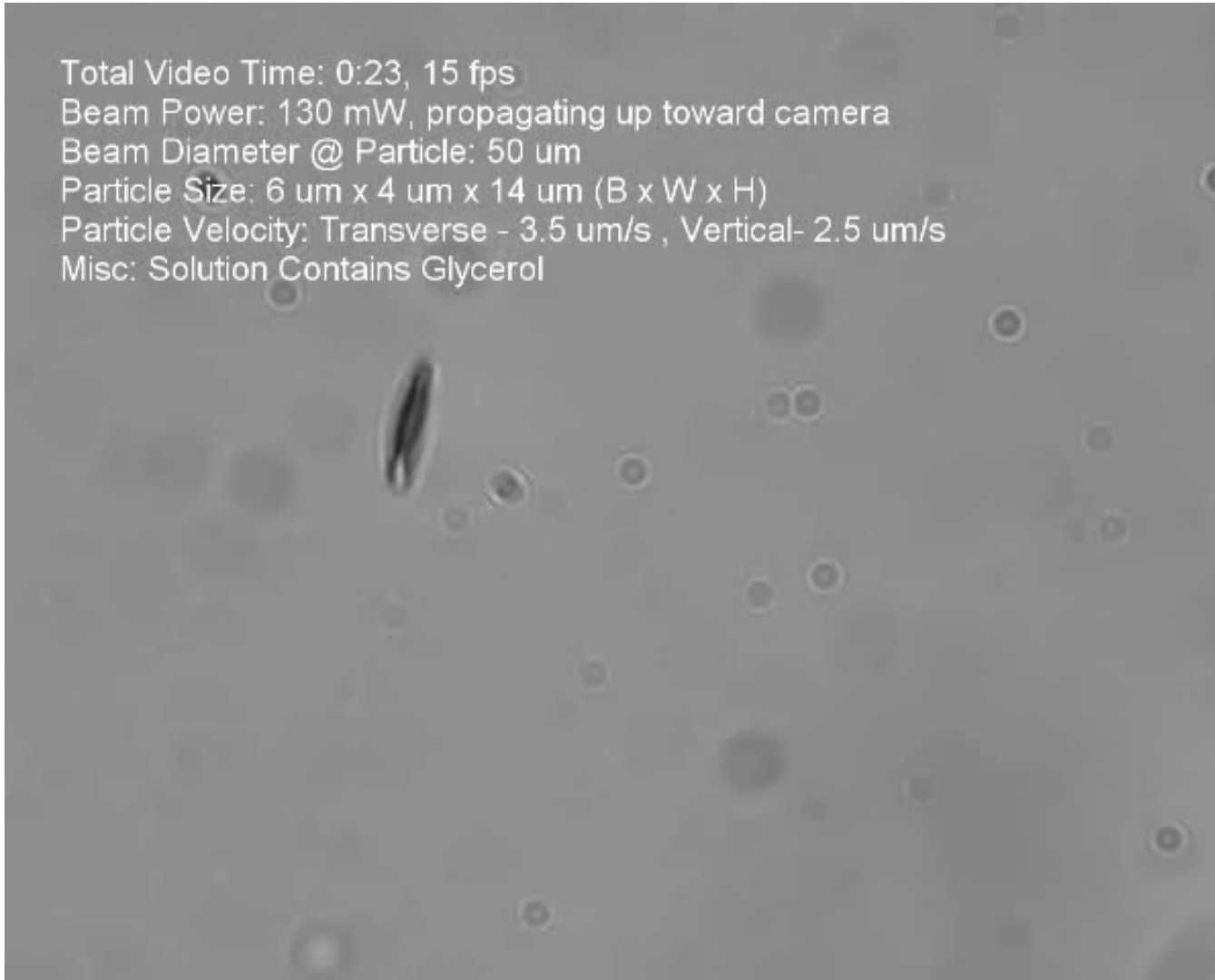




# Optical Lift

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Total Video Time: 0:23, 15 fps  
Beam Power: 130 mW, propagating up toward camera  
Beam Diameter @ Particle: 50  $\mu\text{m}$   
Particle Size: 6  $\mu\text{m}$  x 4  $\mu\text{m}$  x 14  $\mu\text{m}$  (B x W x H)  
Particle Velocity: Transverse - 3.5  $\mu\text{m/s}$  , Vertical- 2.5  $\mu\text{m/s}$   
Misc: Solution Contains Glycerol



# Light-matter interactions

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- Thermal forces (radiometric forces)
  - Temperature gradients in the medium surrounding the object
  - Thermal expansion of solids
  - Thermophoresis of microparticles
  - Phase transitions (liquid-gas-plasma)
  - Thermocapillary convective flows
- Radiation pressure
  - Transparency
  - Refractive index

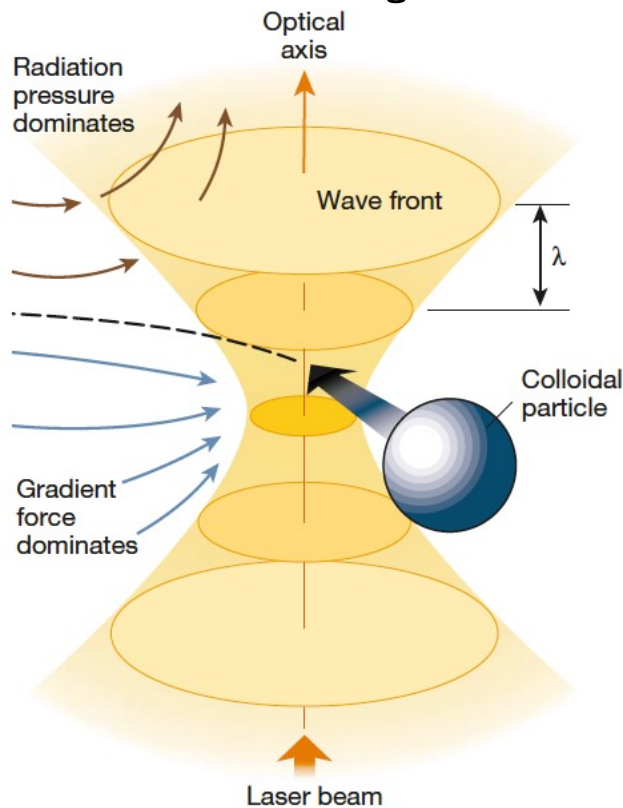
# Optical tweezers

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- **Maxwell:** Momentum transfer from the electromagnetic field to an object, due to absorption or reflection, should result in a radiation pressure in the propagation direction of the wave.
- Optical potential well or optical bottle
  - Tailor the properties of electromagnetic field to generate a pattern of intensity gradients that can act as a 3D trap
  - Adjusting the location of the trap allows the particle to be moved
  - Optical forces act in a highly localized space
  - Particle size and refractive index difference matter
- Spatial light modulators (liquid crystal displays) create holographic optical patterns and multiple optical traps

# Optical tweezers ([video](#))

- Non-uniform spatial distribution of light in the vicinity of the beam focus: a gradient force
- A scattering levitation force along the beam axis: harmonic oscillator



Optical force at a single point on the surface

$$\langle \mathbf{f} \rangle = \frac{1}{4} |E|^2 \Delta \epsilon \delta(\mathbf{n}) \hat{\mathbf{n}}$$

$E$ : total electric field acting on the surface element

$\epsilon$ : Dielectric constant

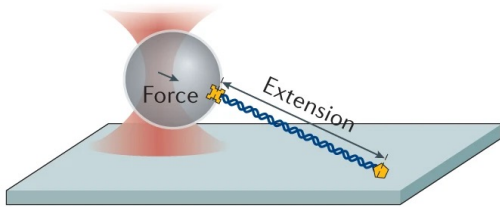
$n$ : Unit surface normal

$\delta$ : Dirac delta function centered at the material surface

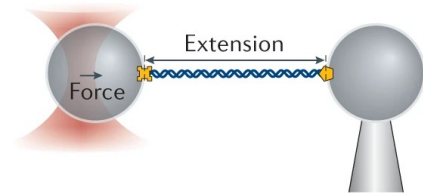
# Optical tweezers and biophysics

## Optical tweezers

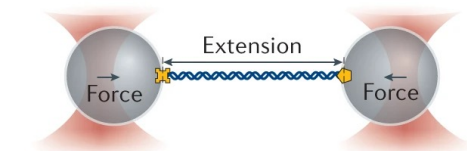
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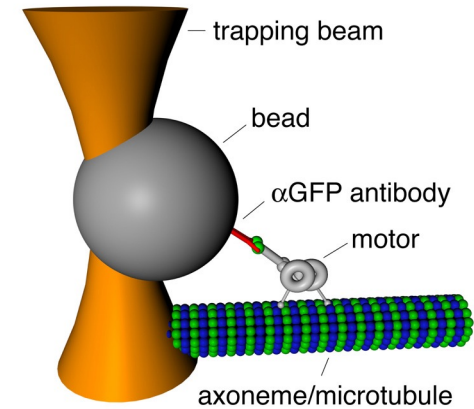
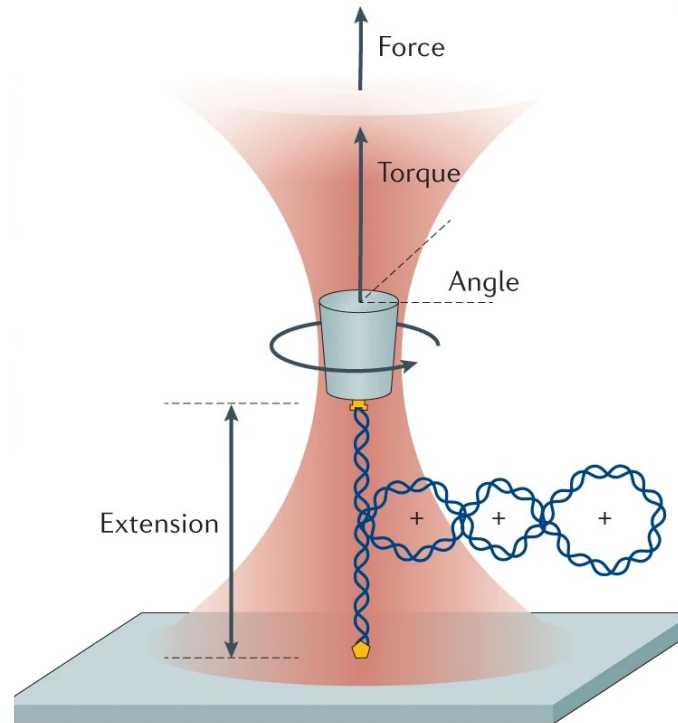
b



c



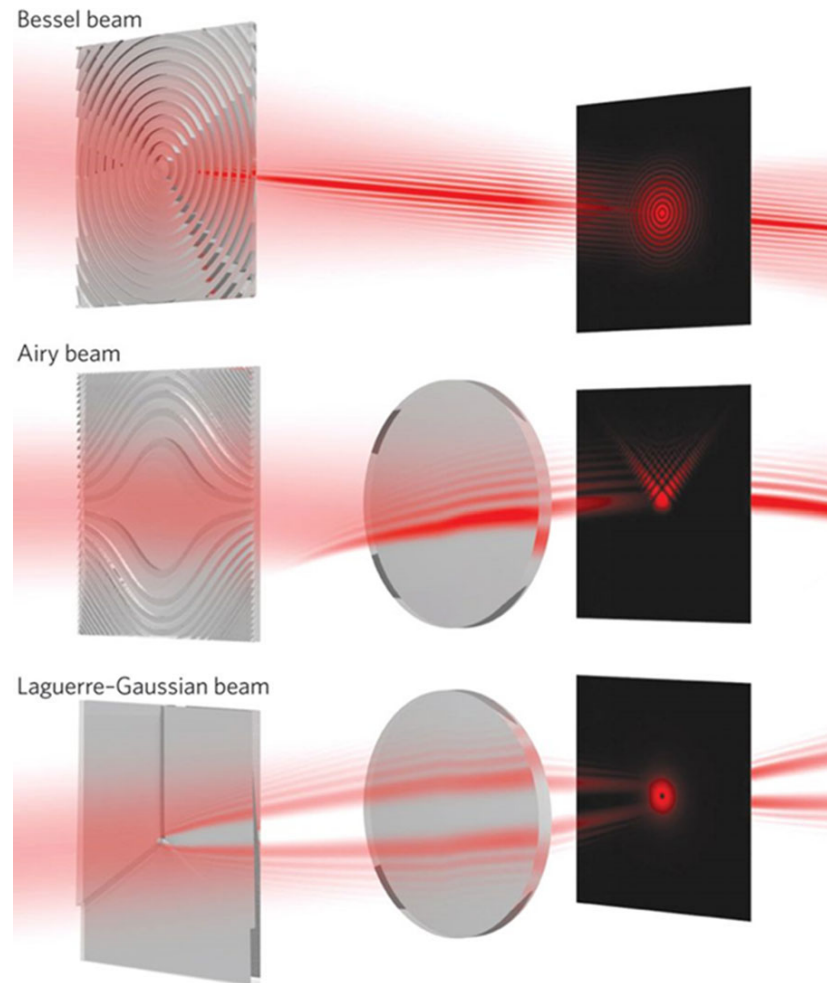
## g Angular optical tweezers



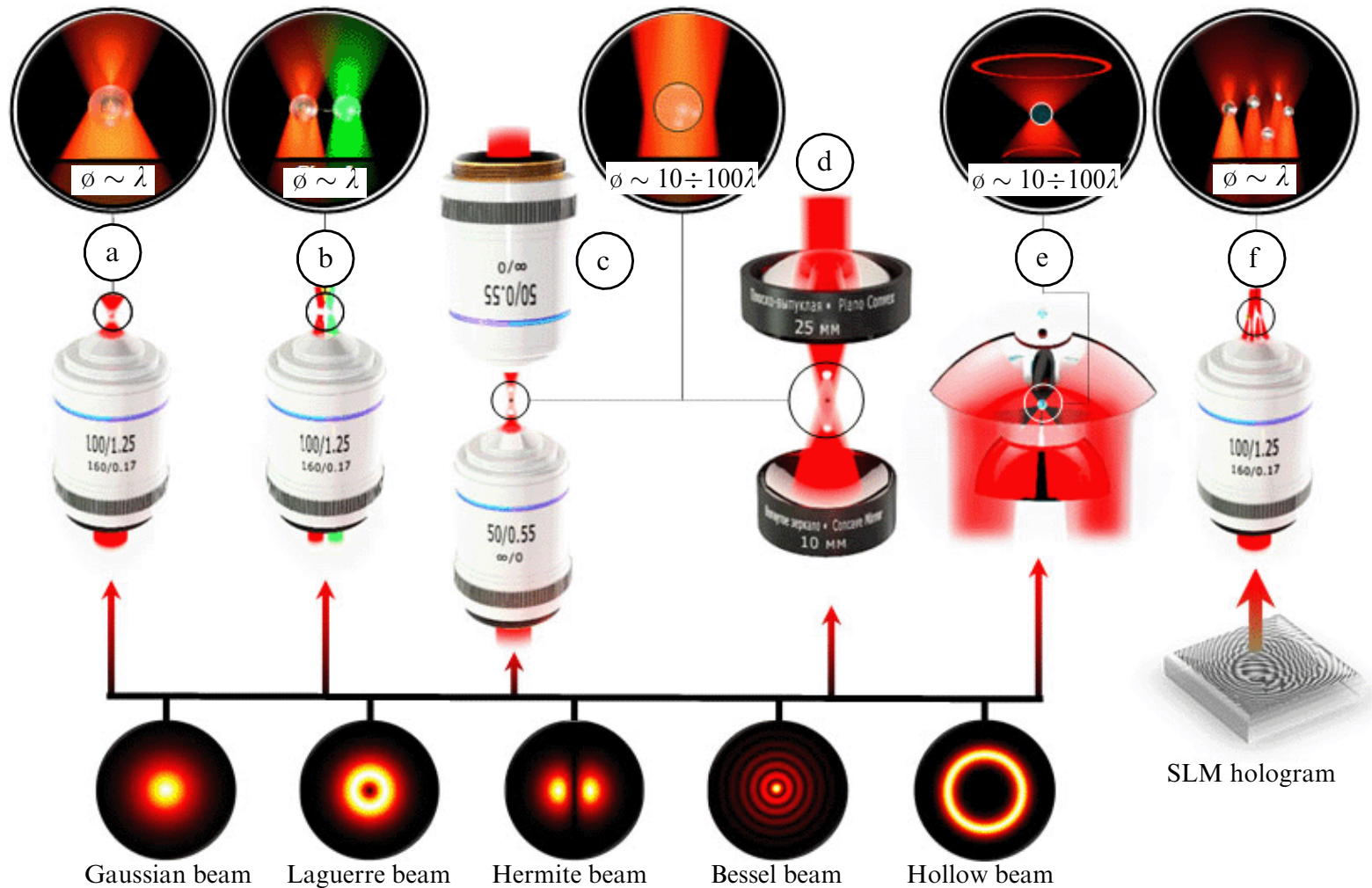
# Beam shaping with diffractive elements

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- Microfabricated diffractive optical elements

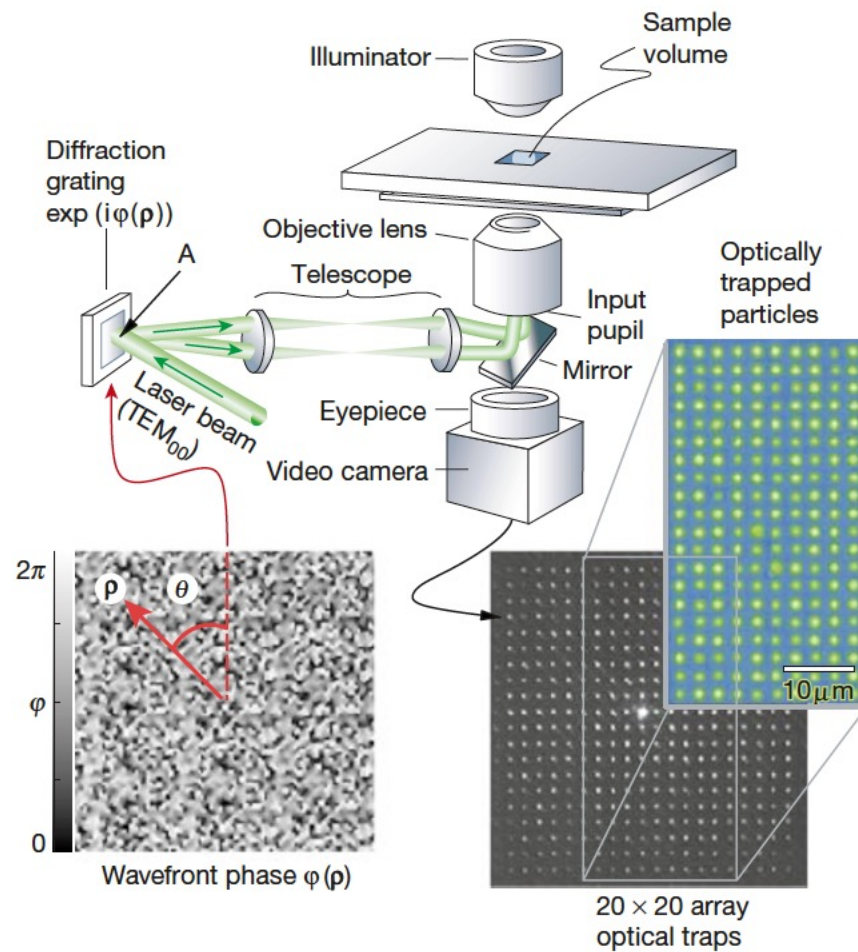


# Optical trapping systems



# Digital holograms

- A large number of optical tweezers





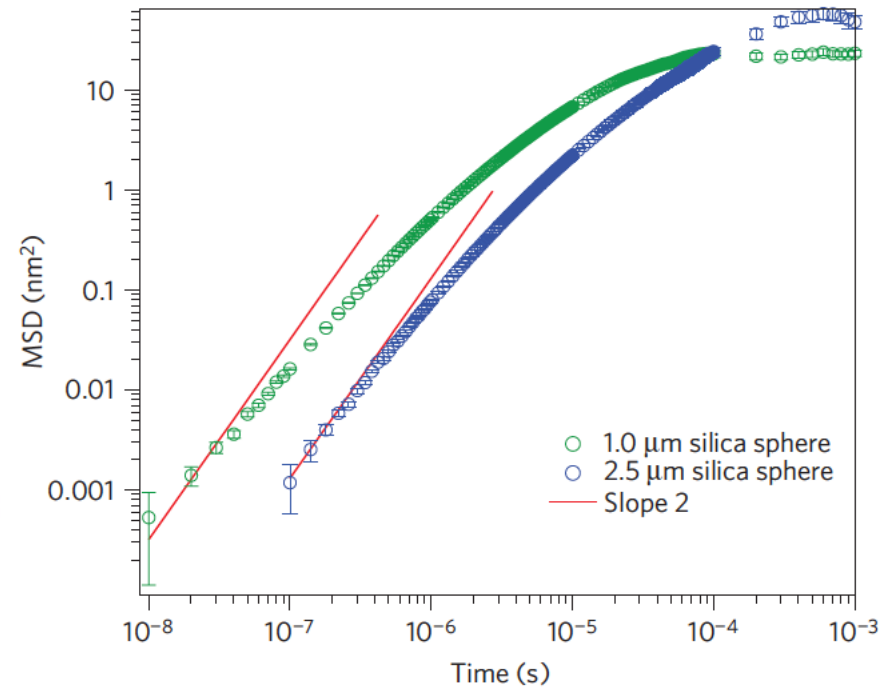
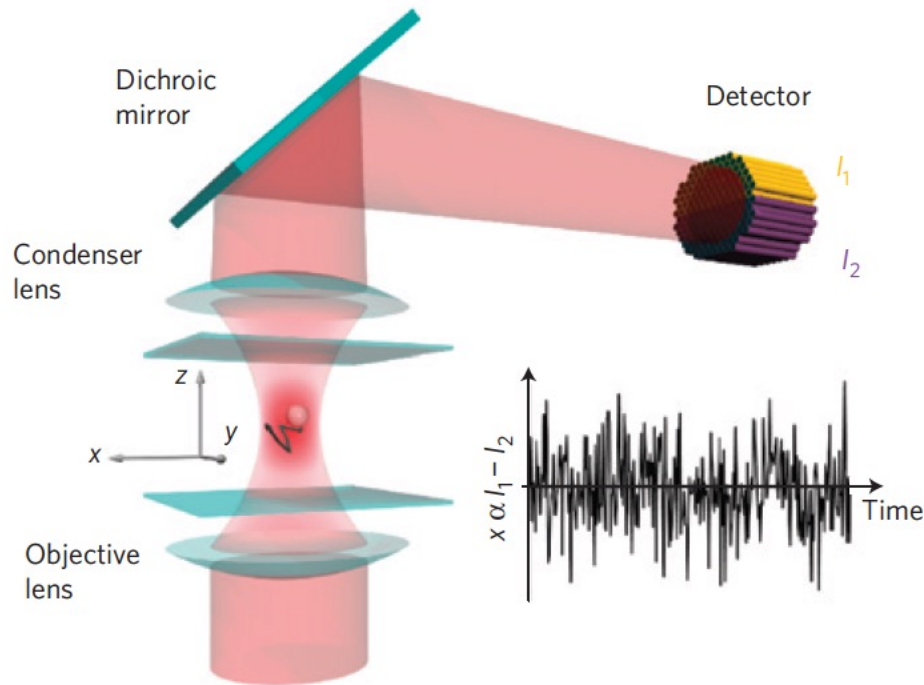
# Holographic optical tweezers

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- A dielectric particle approaching a focused beam of light is polarized by the light's electric field and then drawn up intensity gradients toward the focal point. Radiation pressure competes with this optical gradient force and tends to displace the trapped particle along the beam's axis.
- An optical trap can be placed anywhere within the objective lens' focal volume by appropriately selecting the input beam's propagation direction and degree of collimation
- Multiple beams entering the lens' input pupil simultaneously each form optical traps in the focal volume, each at a location determined by its degree of collimation angle of incidence
- Link: <https://physics.nyu.edu/grierlab/dynamic4c/>

# On Brownian Motion

- An optical trap with 75 MHz bandwidth and sub-angstrom precision



# On Brownian Motion

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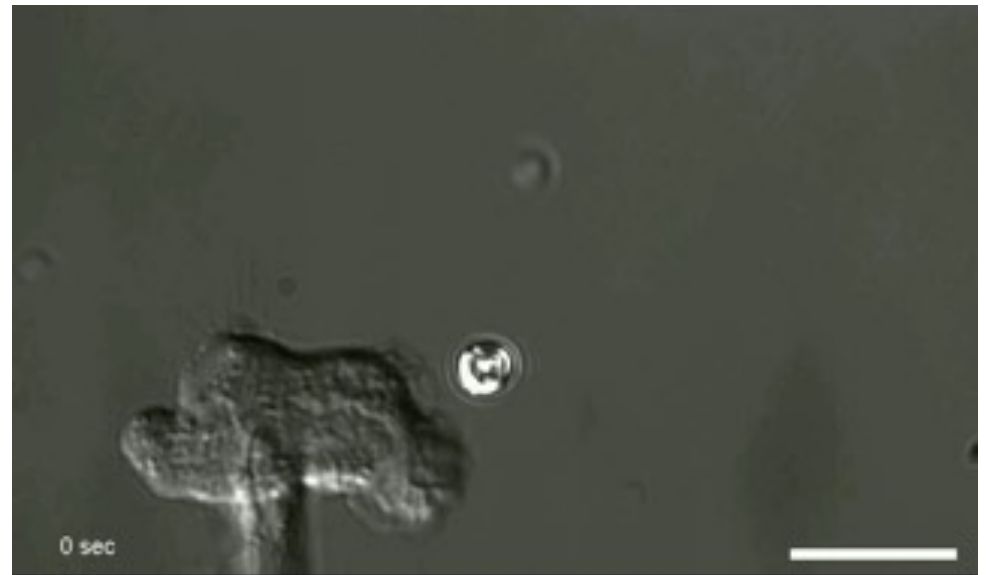
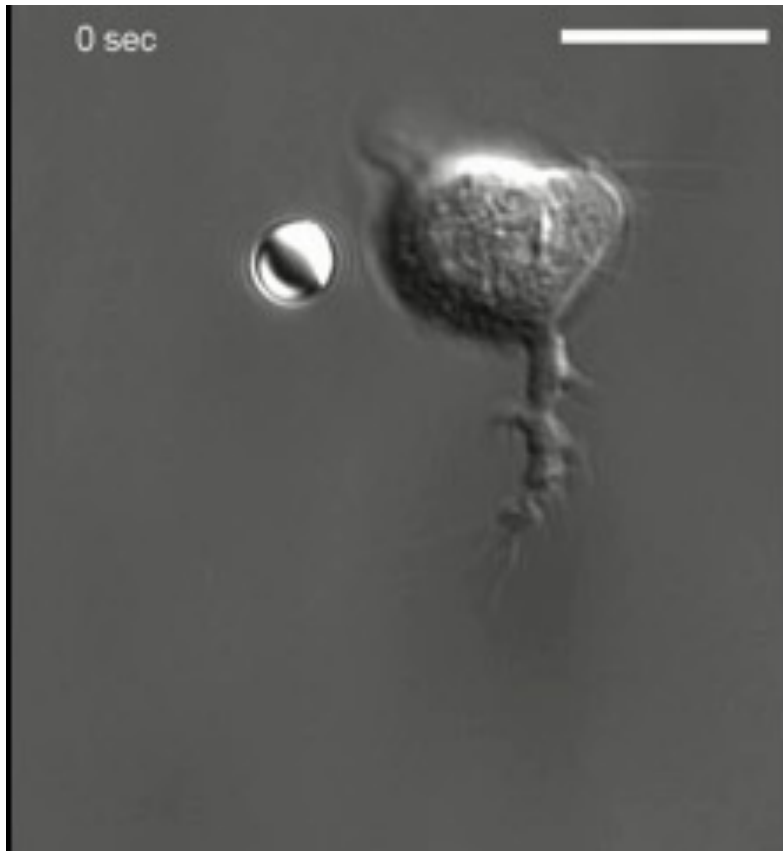
- Multiple regimes
- Random movement of Brownian particles in a liquid at large timescales
- Einstein and Robert Brown  $\text{MSD}(t) = 2Dt$   $\tau_p = m/\gamma$  Stokes drag coefficient
- Ballistic motion at small scales: After receiving an impulse from the surrounding fluid molecules, the particle flies in a straight line with constant velocity before collisions with fluid molecules slow it down
- Langevin  $\text{MSD}(t) = (k_B T / m) t^2$
- Hydrodynamic memory effect: Inertia of the fluid leads to long-lived vortices caused by and in turn affecting the particle's motion
- Vladimirovsky and Hinch (added mass)

$$\text{MSD}(t) = (k_B T / m^*) t^2 \quad \tau_f = r^2 \rho_f / \eta$$
$$m^* = m_p + m_a \quad m_a = \frac{2}{3} \pi r^3 \rho_f$$

# Optically actuated microtools

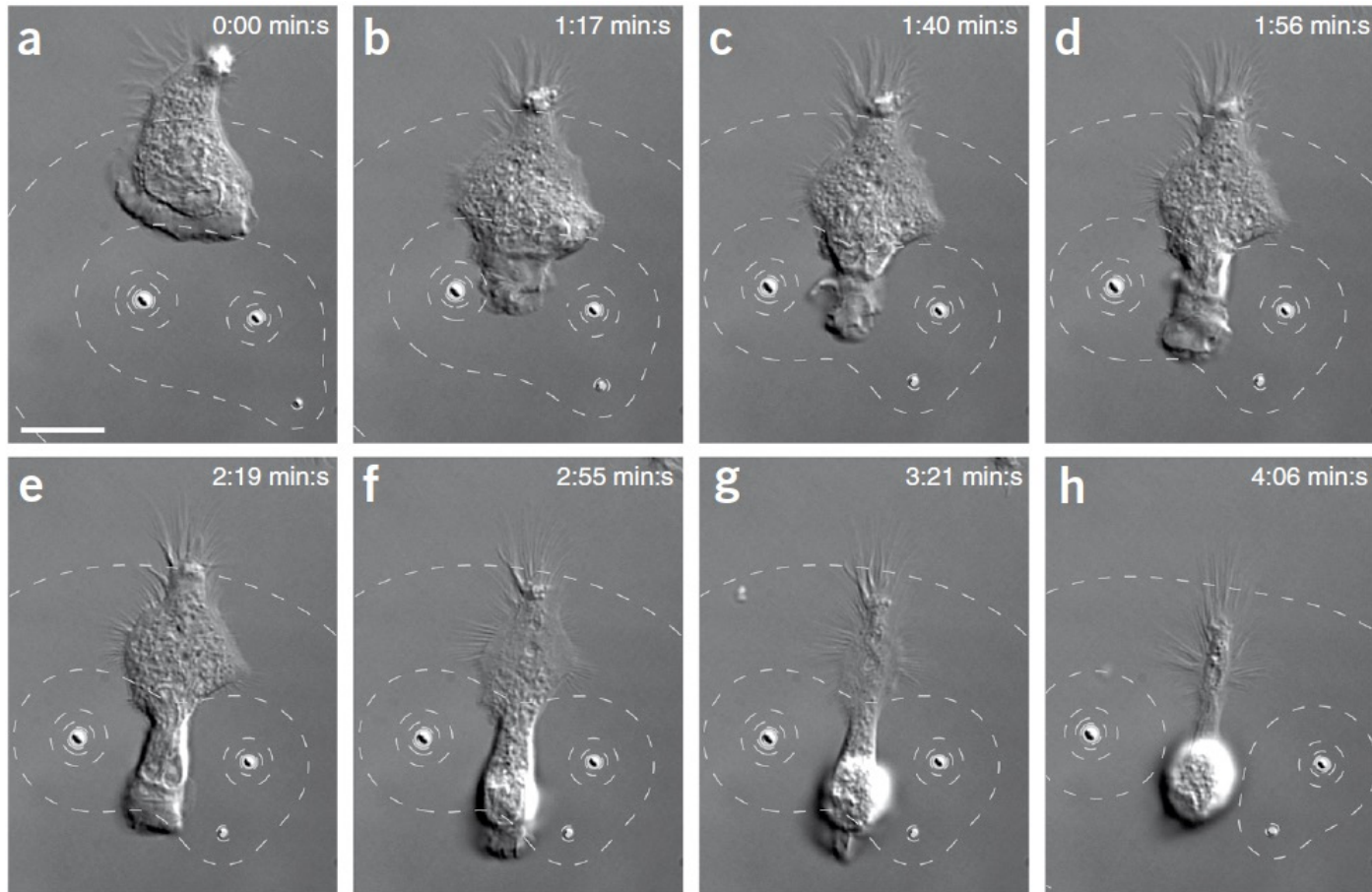
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- Cell stimulation with optically manipulated drug-loaded polymer particles (2  $\mu\text{m}$ )



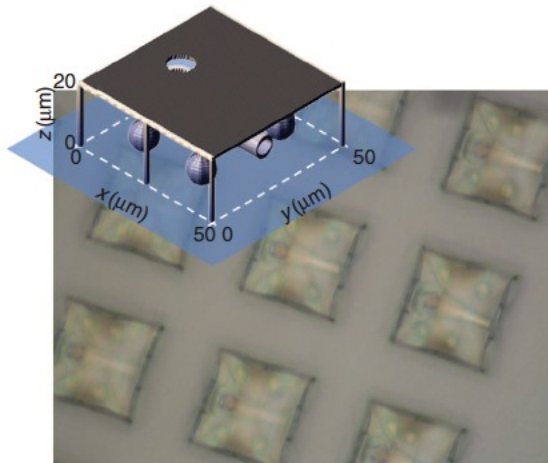
# Optically actuated microtools

- Multiple source of Cytochalasin D (actin depolymerization)

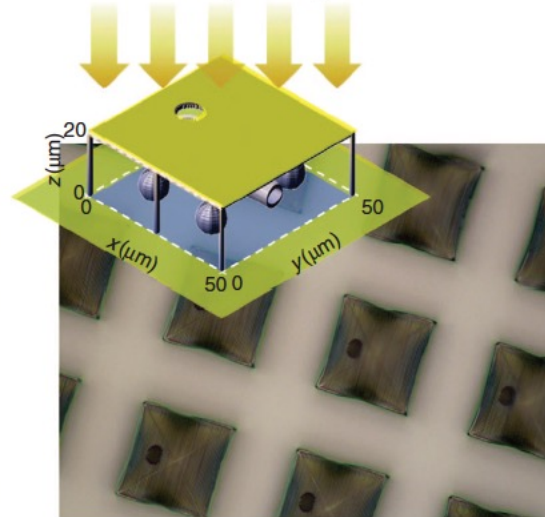


# Optically actuated microtools

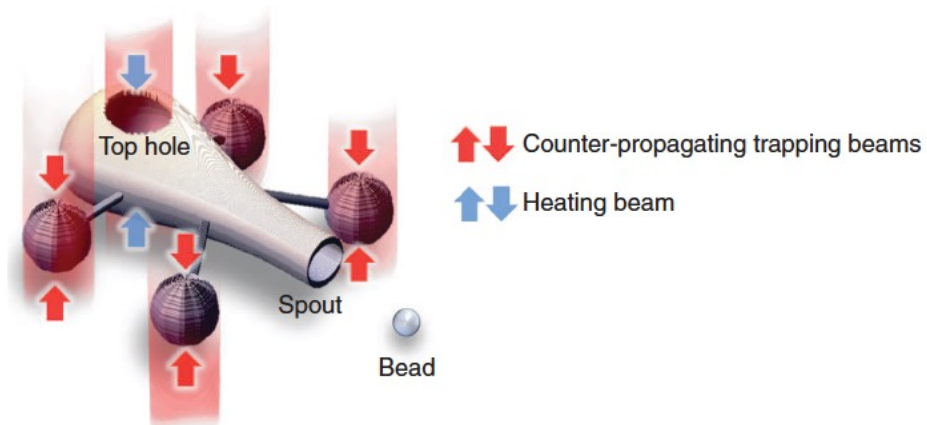
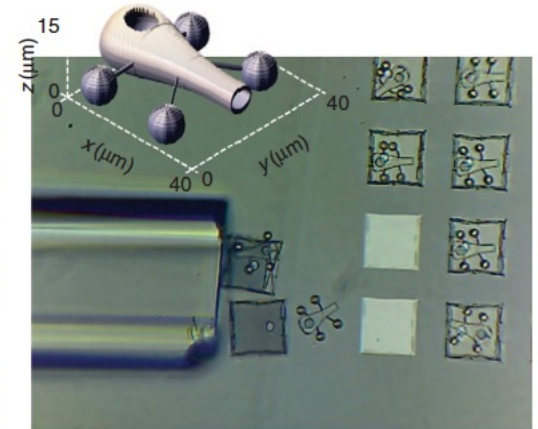
**a** Two-photon-polymerization of micro-tools and masks



**b** Electron beam physical vapor deposition



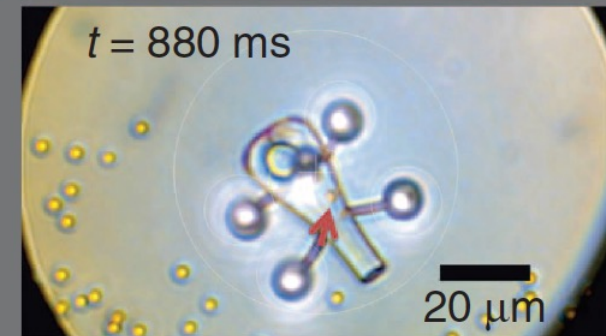
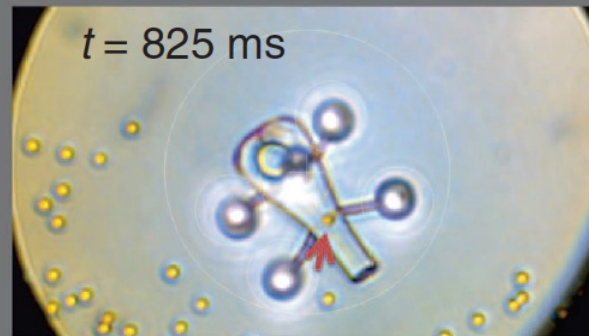
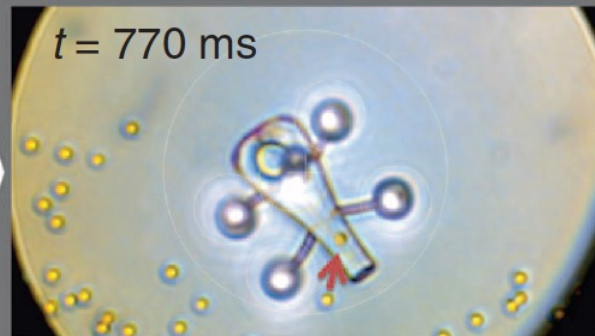
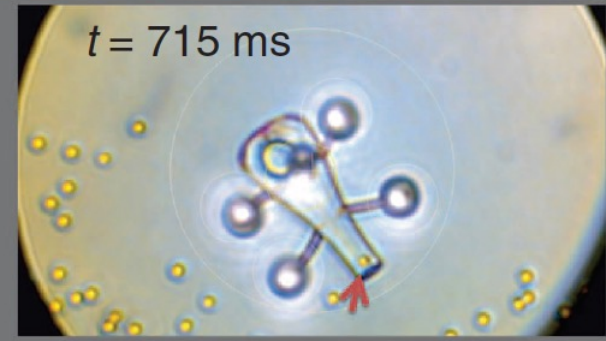
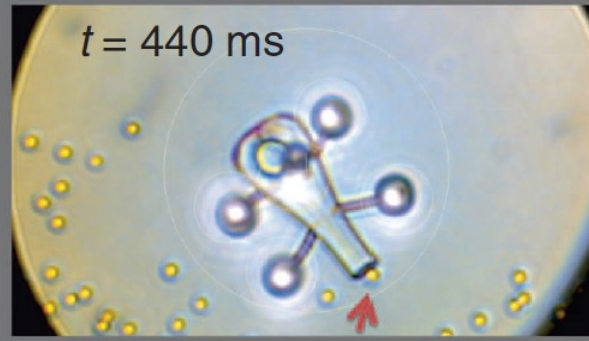
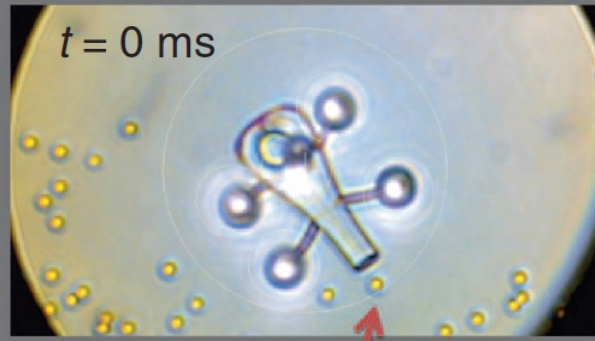
**c** Collection of micro-tools



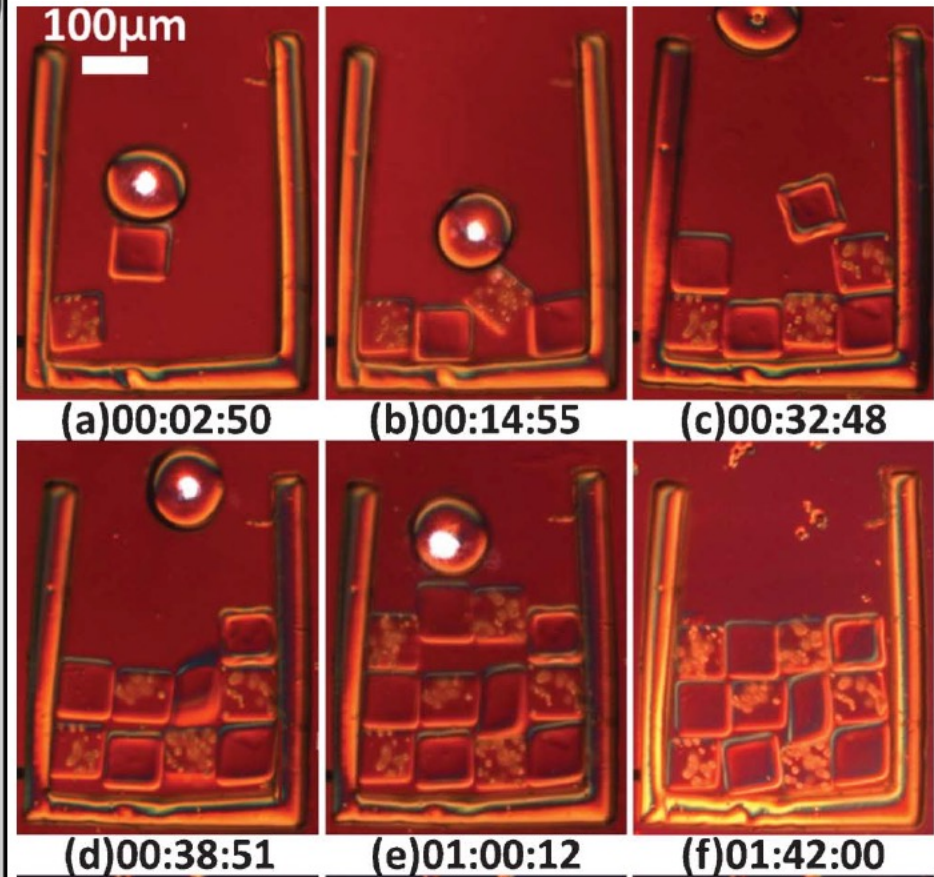
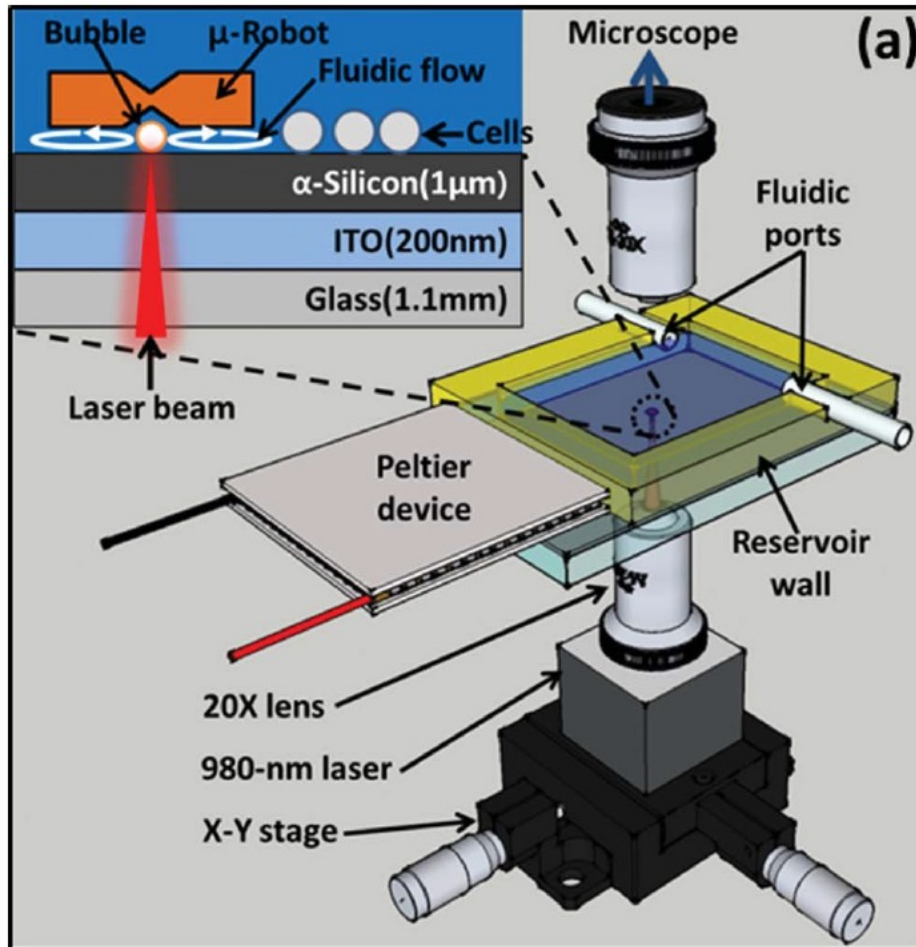


# Optically actuated microtools

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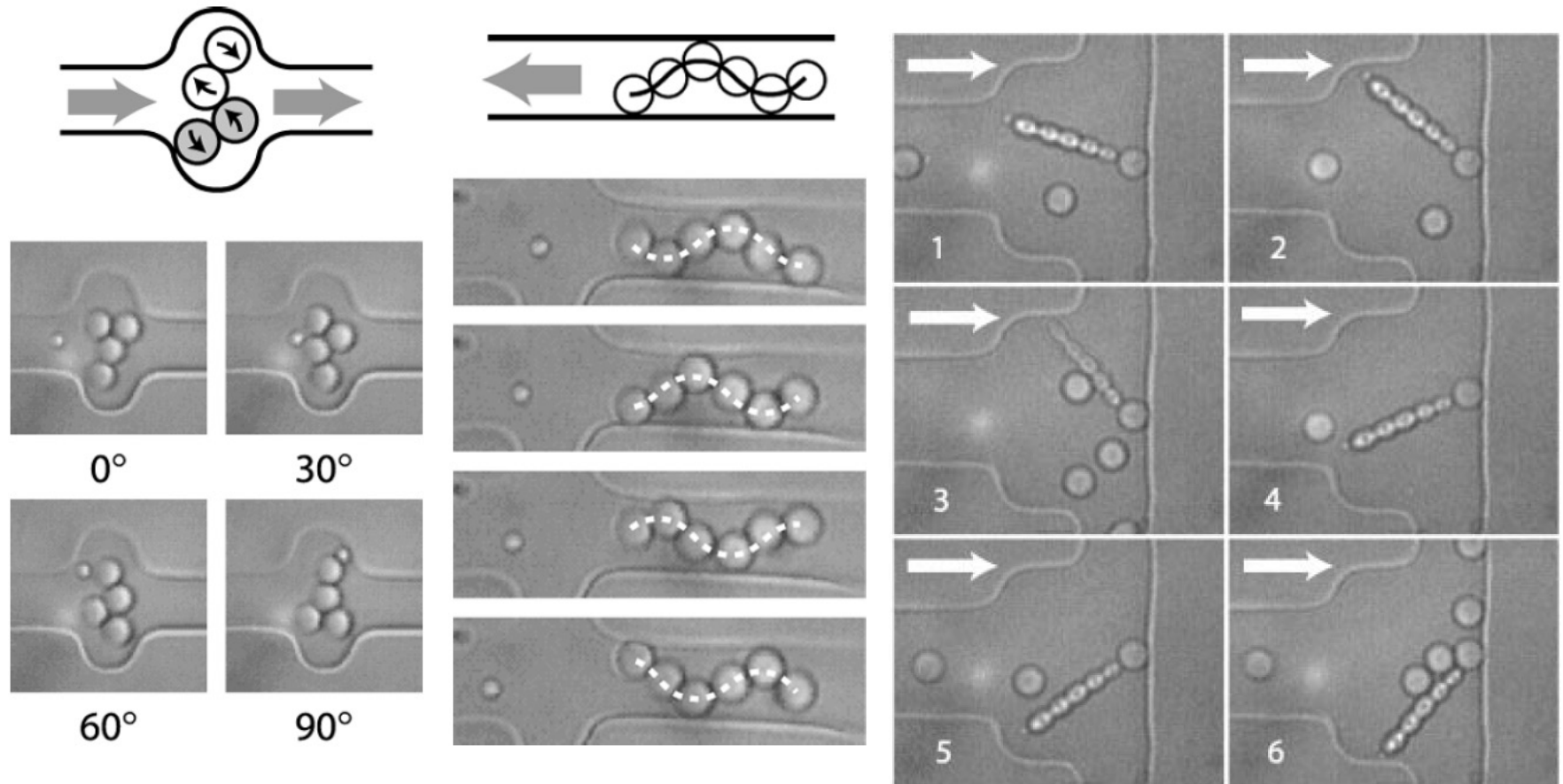
# Optically actuated microtools



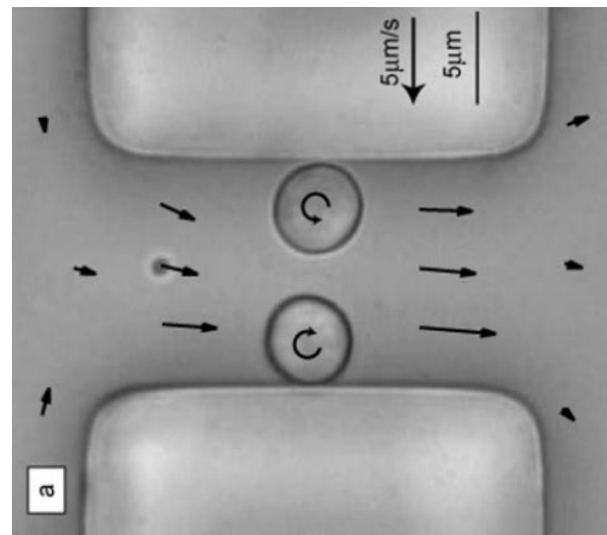
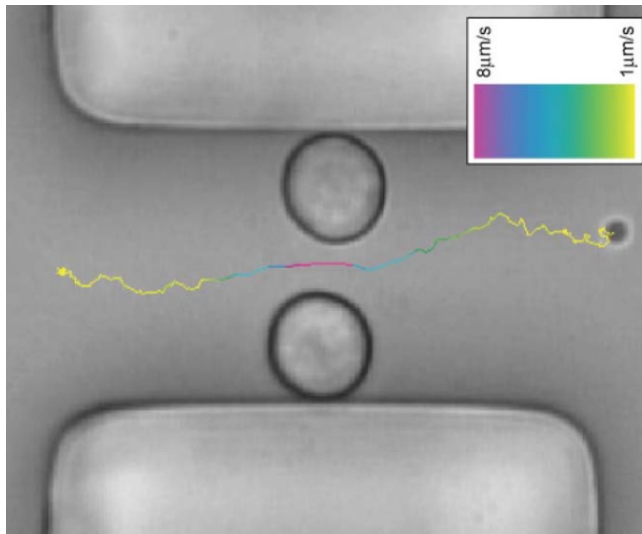
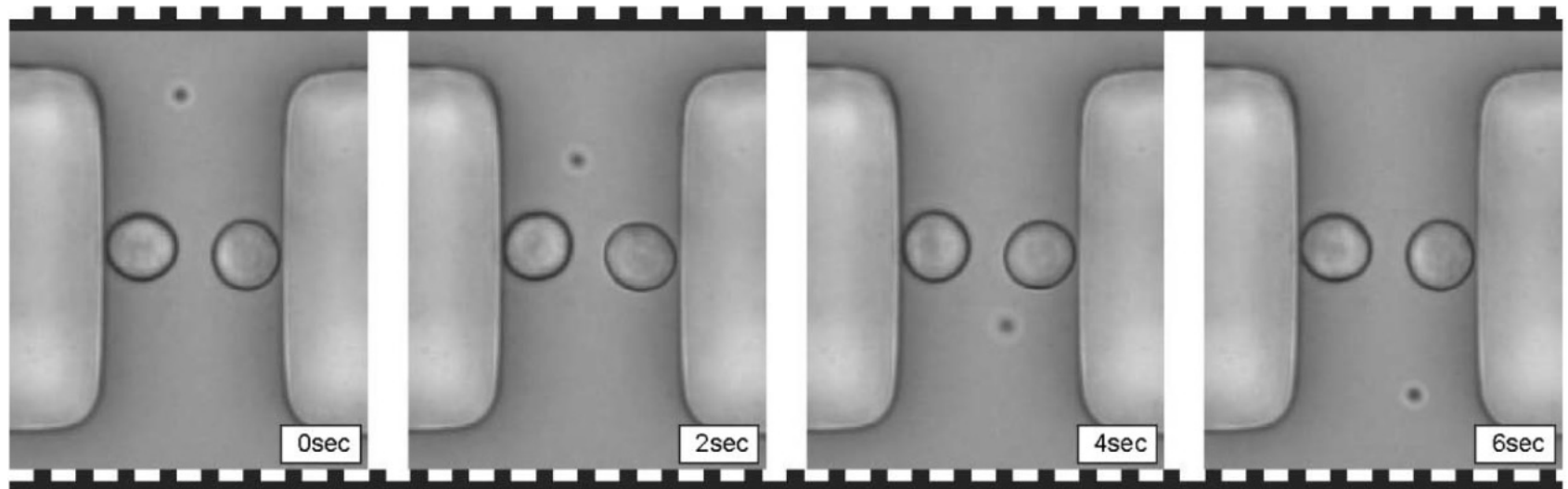


# Optically driven valves and pumps

- Structures assembled from colloidal particles

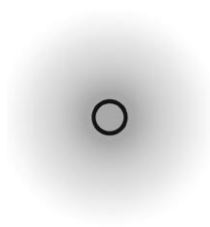


# Optically driven valves and pumps

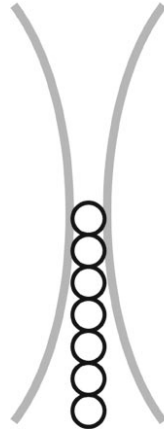


# Optically driven valves and pumps

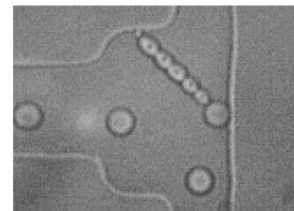
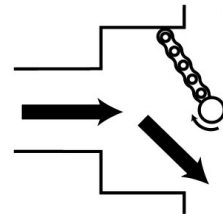
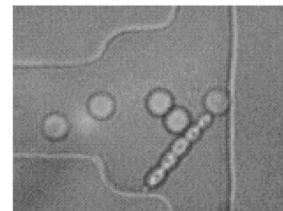
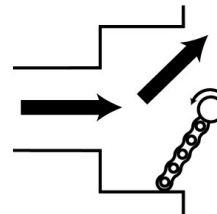
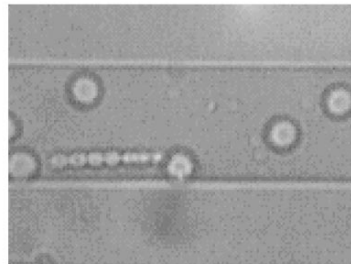
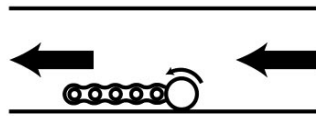
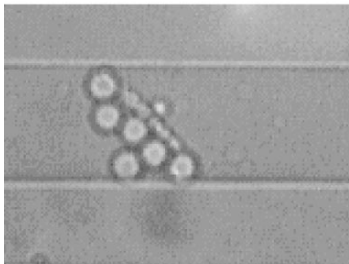
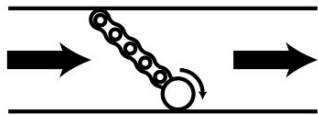
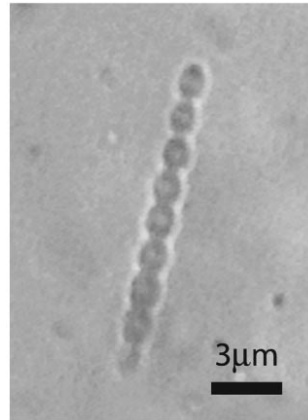
Top View



Side View

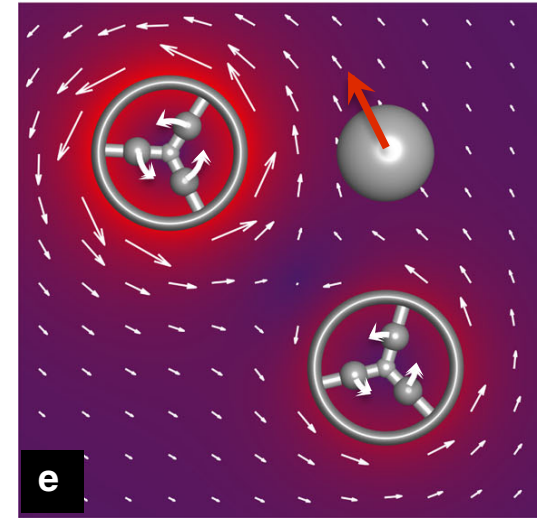
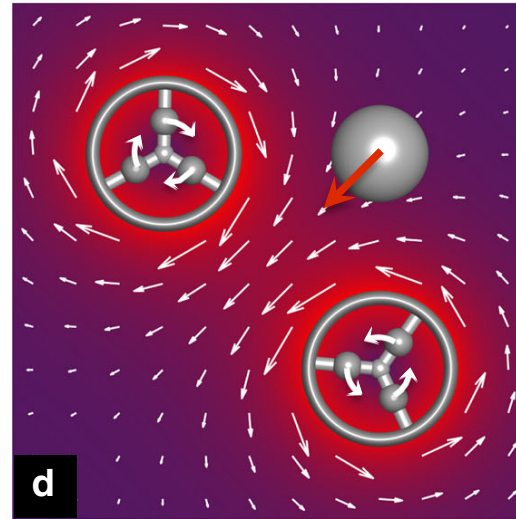
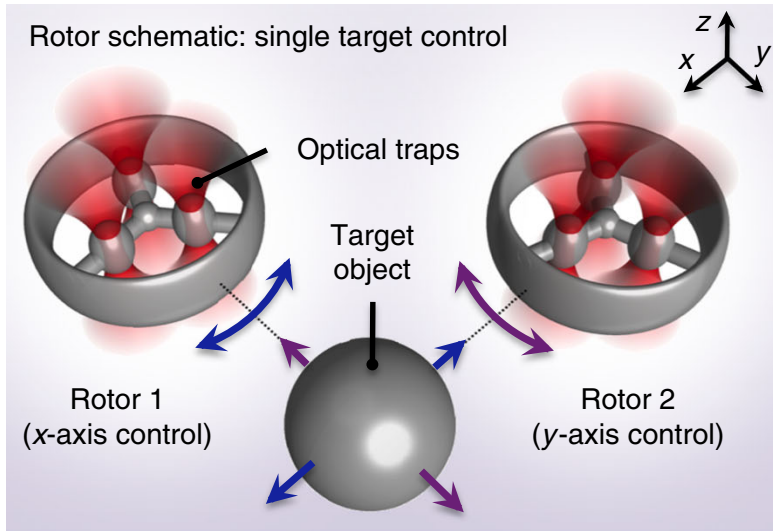


Structure Formed



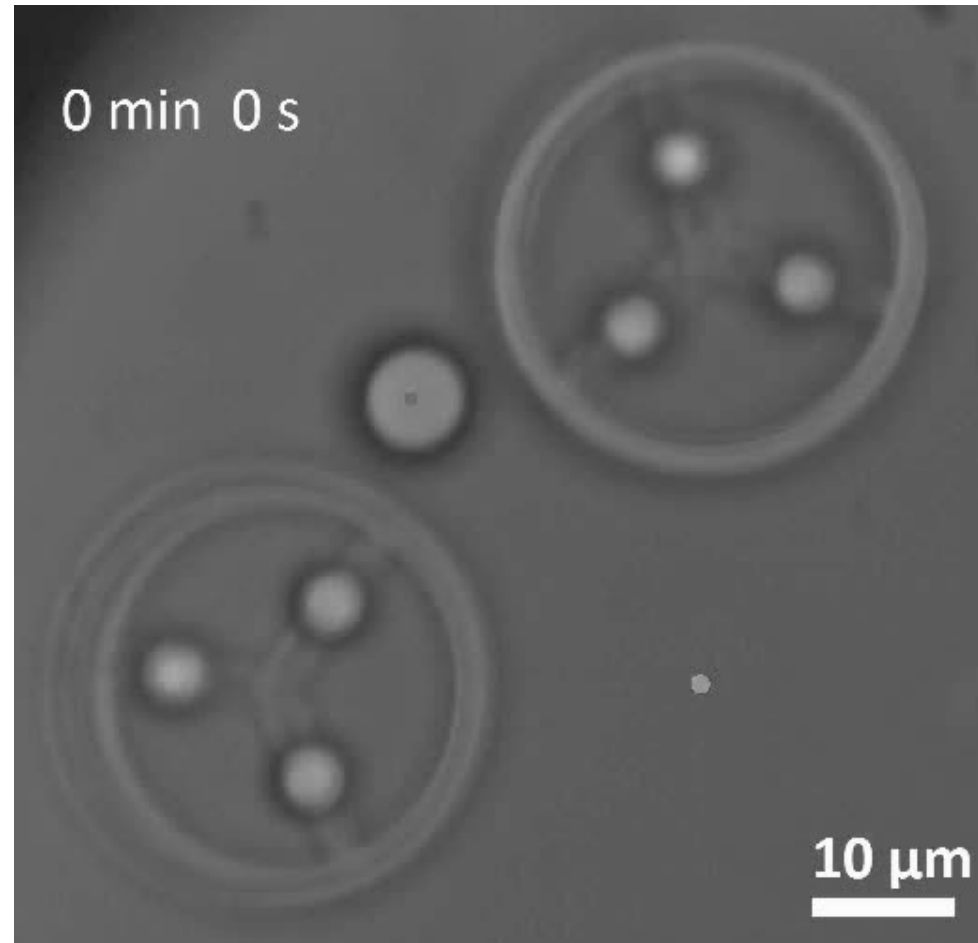
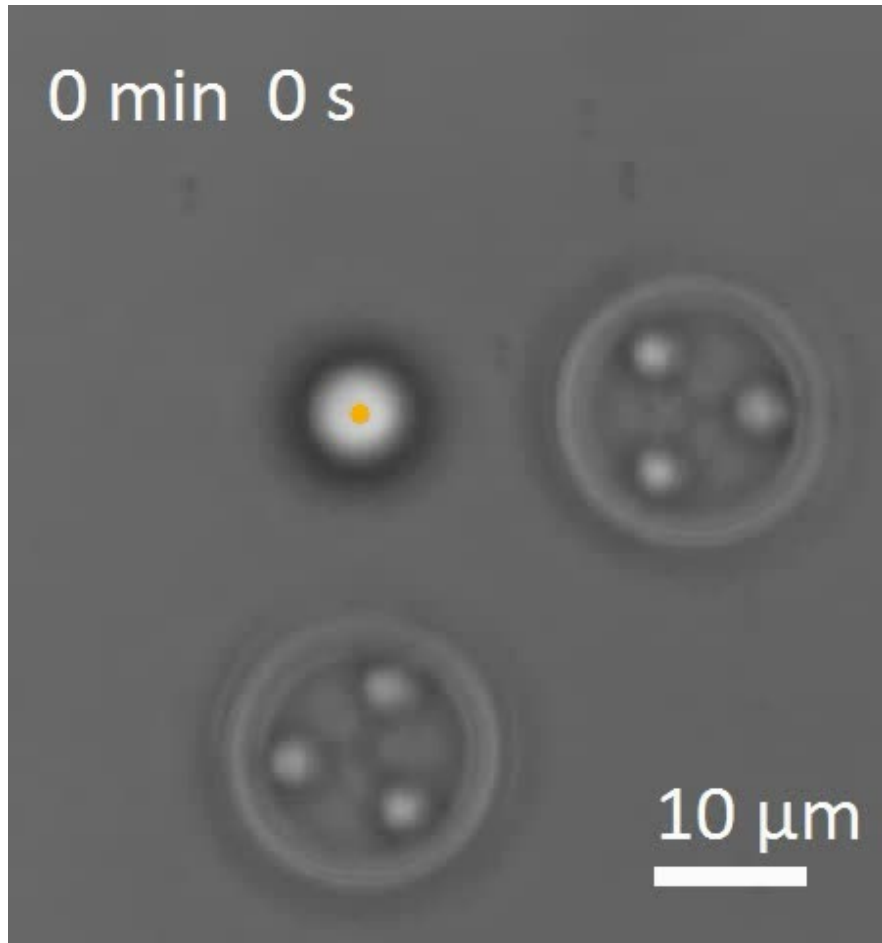
# Optically driven motors

- 3D printed structures



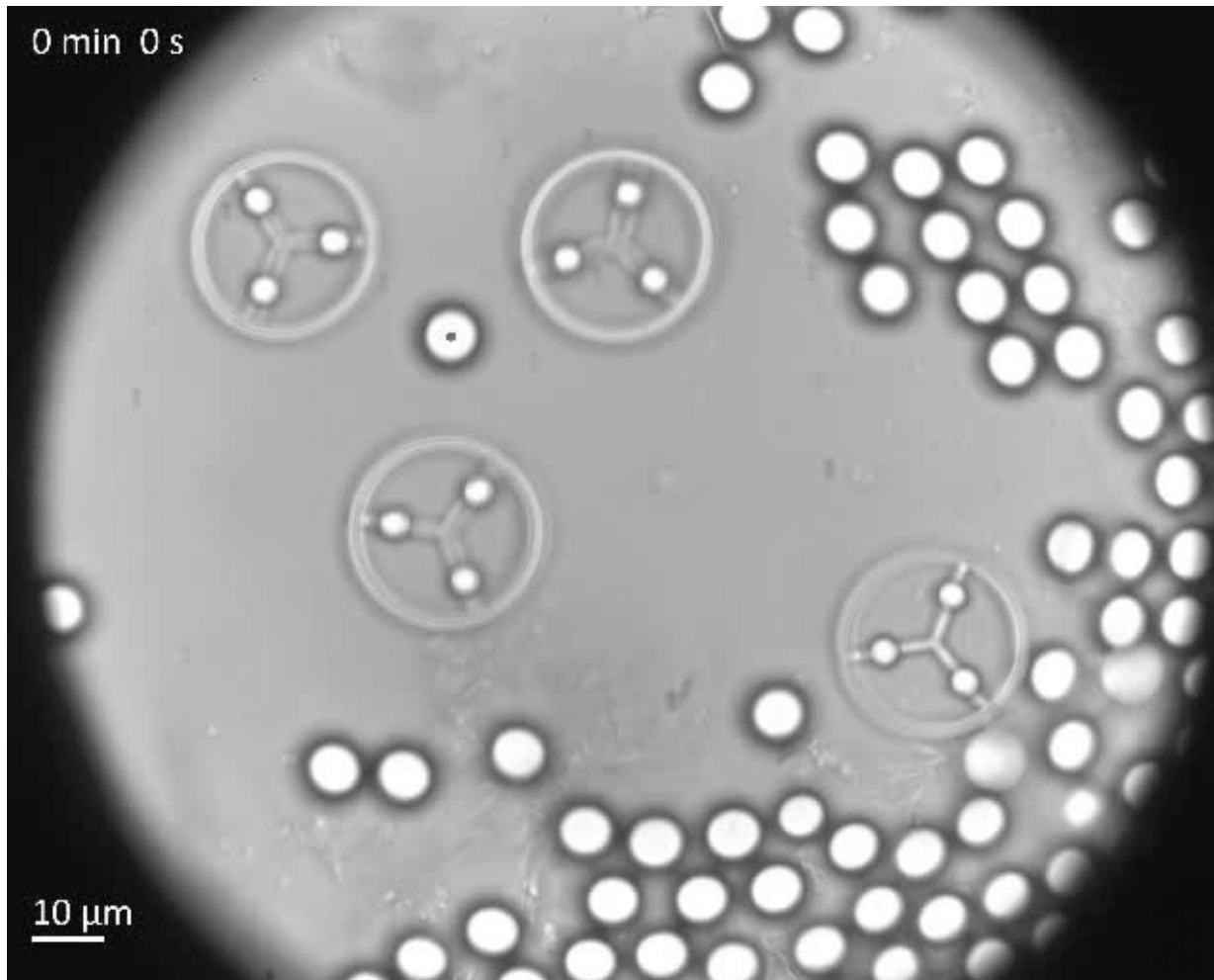
# Optically driven motors

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# Optically driven motors

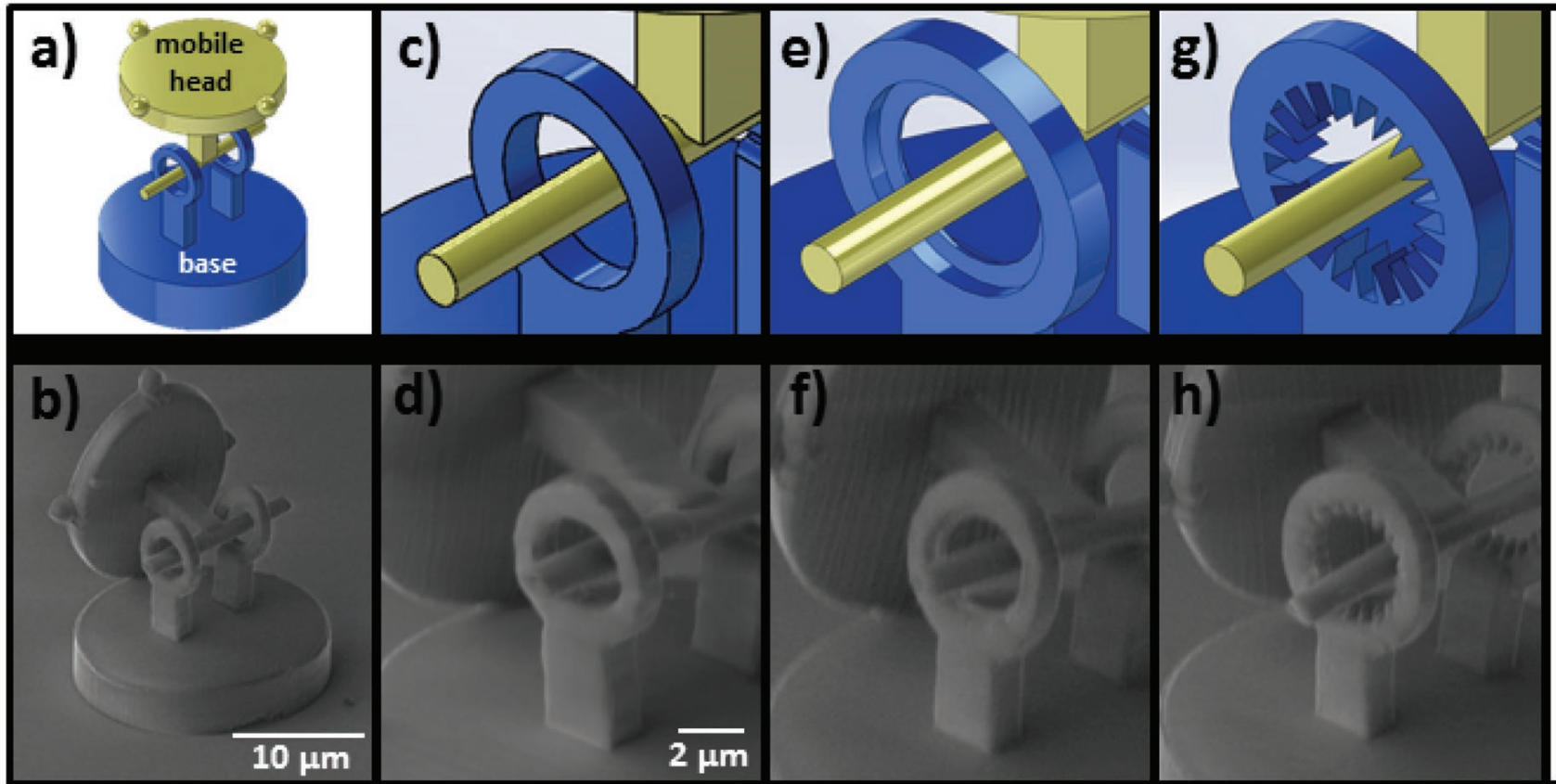
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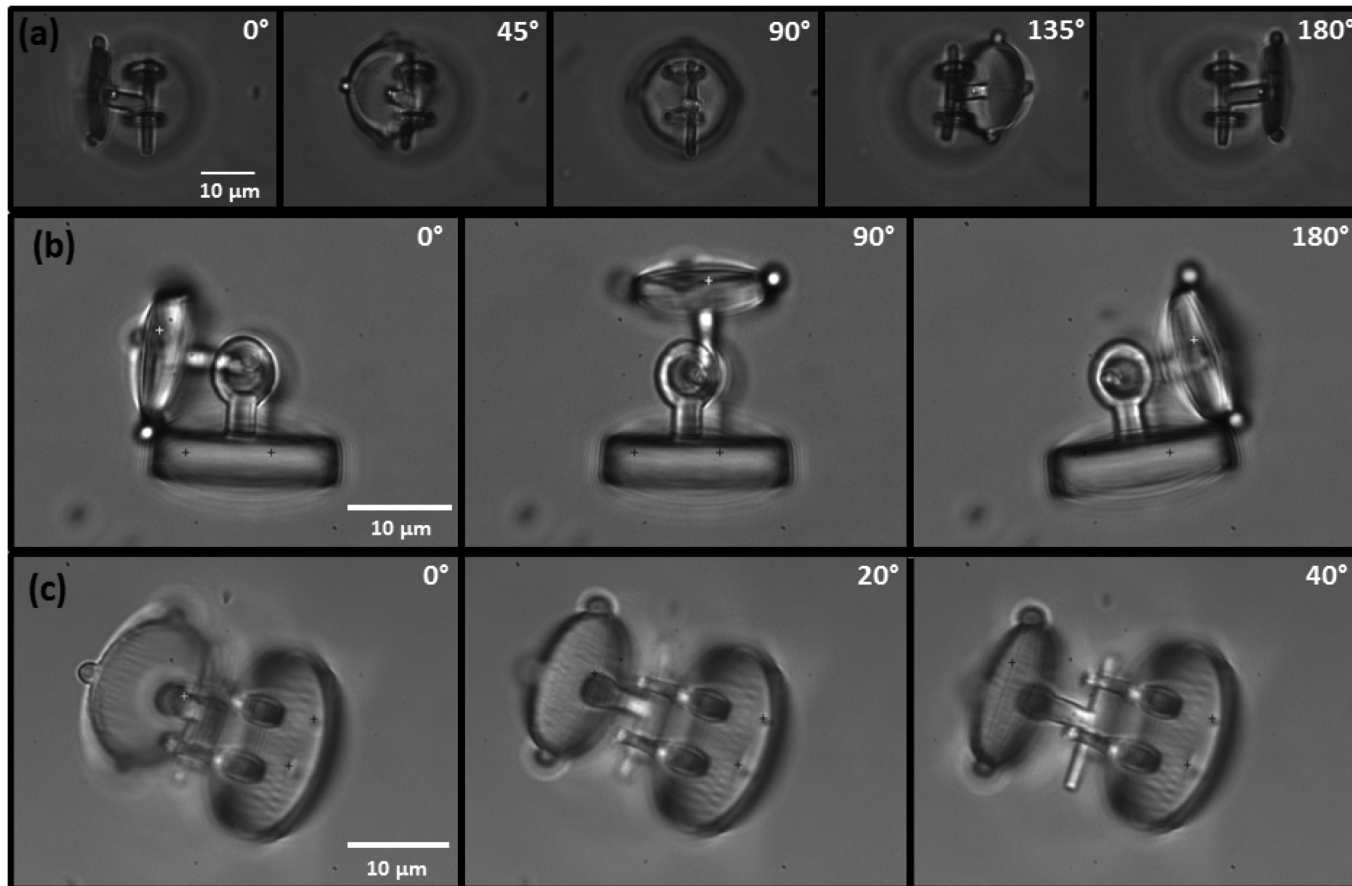
# Optically driven articulated structures

- 3D printed structures



# Optically driven articulated structures

- Only rotation (fixed base)
- Movement (mobile base) and rotation





# Optically driven articulated structures

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- Fixed base

Supporting Information Video S1

manipulation of mobile head

# Optically driven articulated structures

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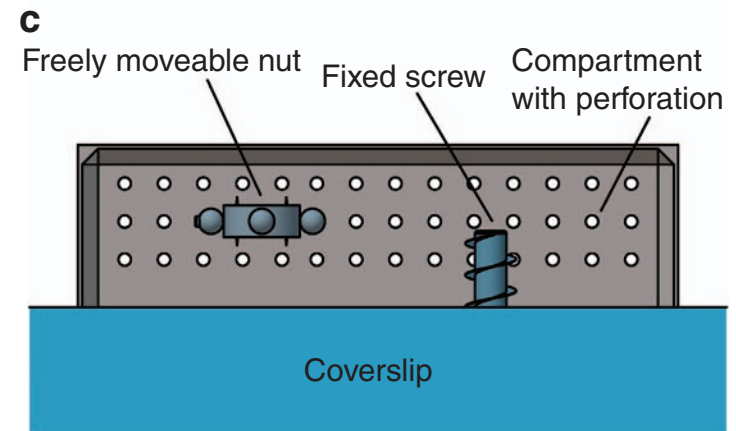
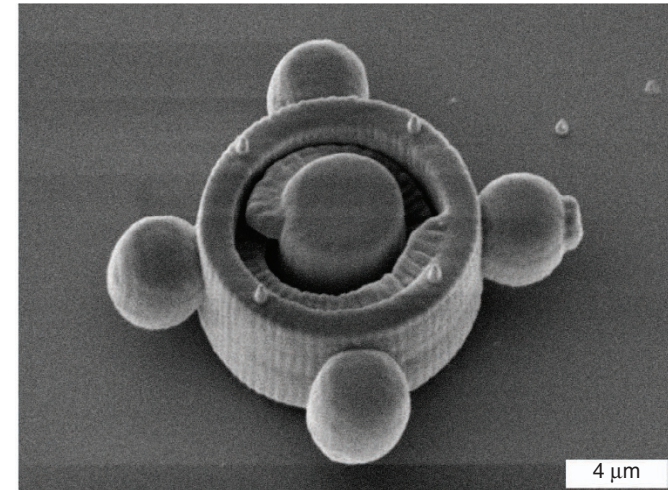
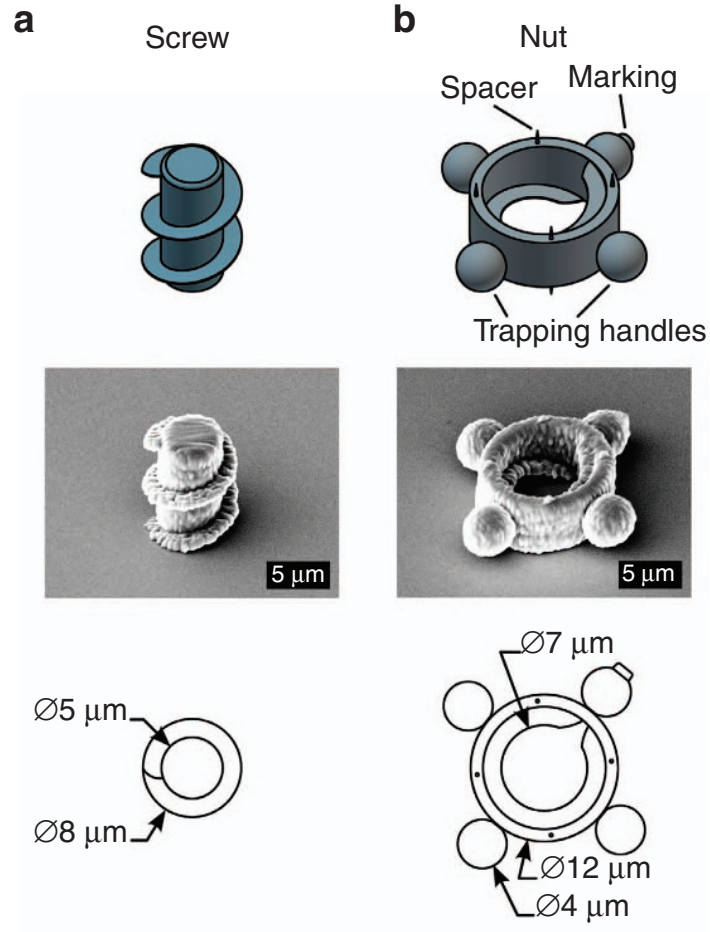
- Mobile base

Supporting Information Video S2

manipulation of entire mechanism

# Optical screw-wrench

- Microassembly

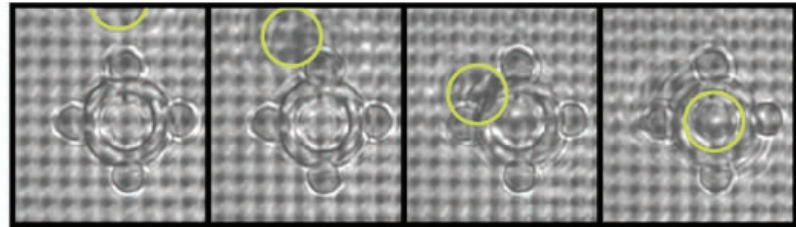
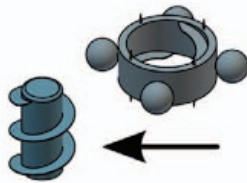


# Optical screw-wrench

- Microassembly

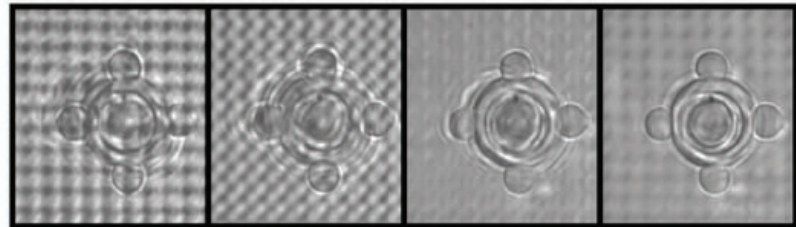
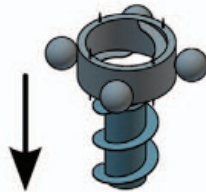
**a**

Positioning



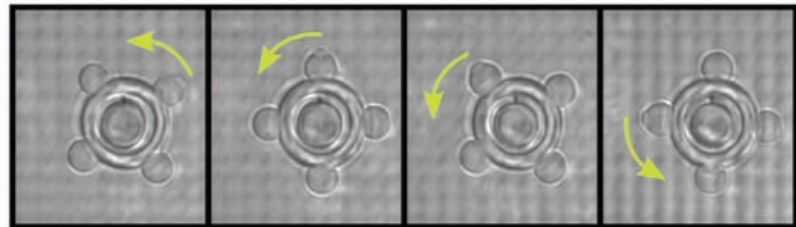
**b**

Lowering



**c**

Screwing together

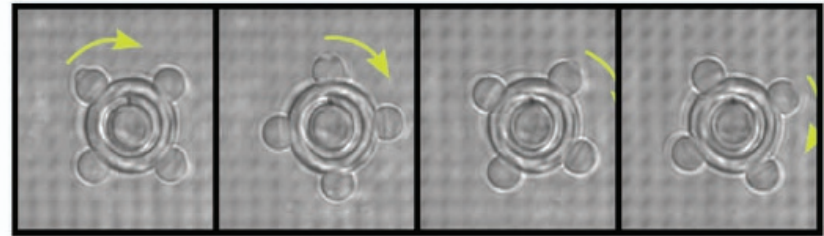


# Optical screw-wrench

- Microassembly

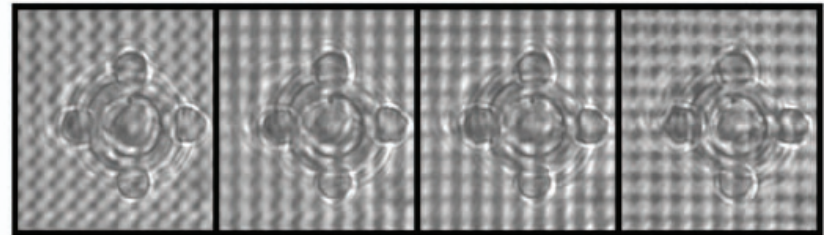
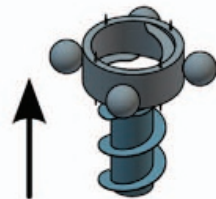
**e**

Unscrewing



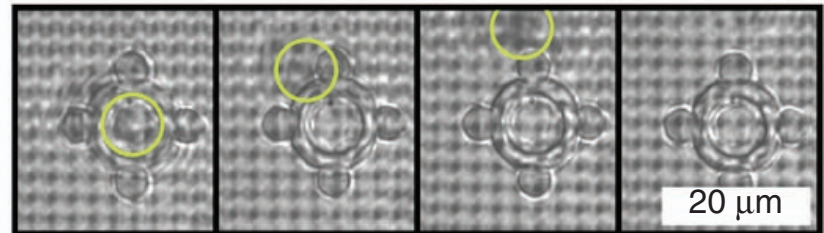
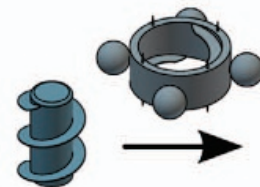
**f**

Lifting



**g**

Positioning



# Optical reaction turbine

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- Exploiting light's momentum to generate torque

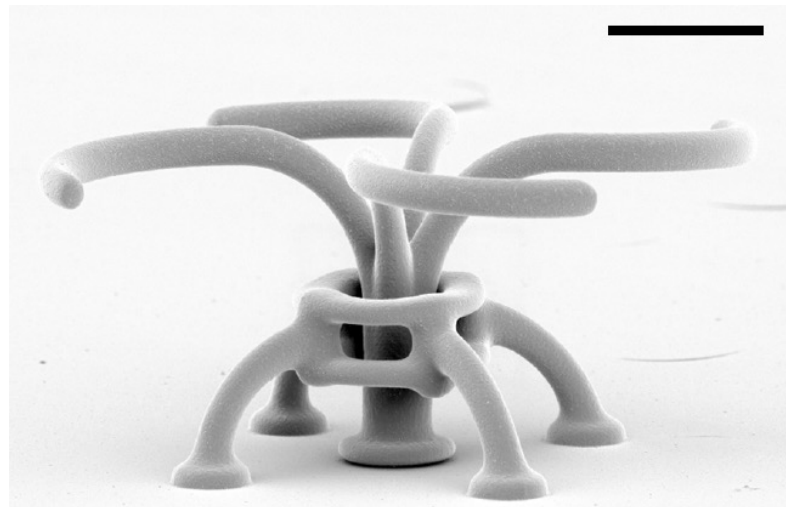
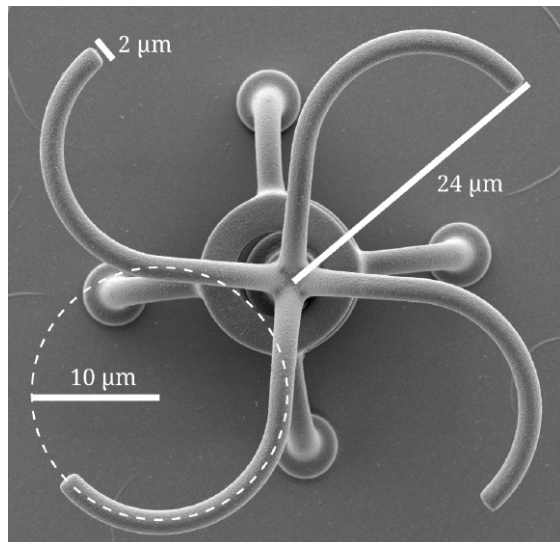
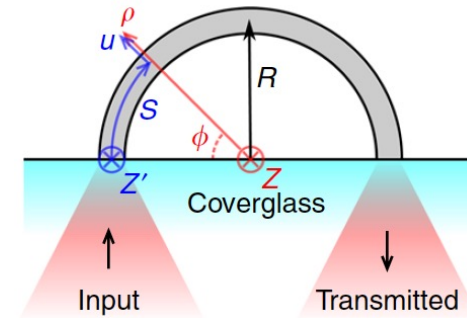
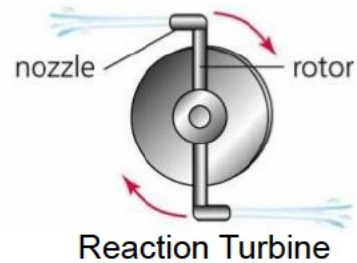
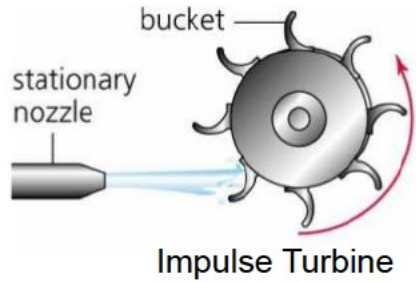
$$T_z = \frac{Pn}{c} \left( k_\phi^{\text{out}} r^{\text{out}} - k_\phi^{\text{in}} r^{\text{in}} \right)$$

where  $P$  is the optical power,  $n$  is the refractive index of the surrounding medium,  $z$  is the direction of the rotor axis,  $\phi$  the azimuthal coordinate,  $k_\phi^{\text{in}}$  and  $k_\phi^{\text{out}}$  the azimuthal components of respectively incoming and outgoing light directions and  $r^{\text{in}}$  and  $r^{\text{out}}$  the radial distances of inlet and outlet (Fig. 1a). Equation (1) is formally very similar to the Euler turbomachine equation<sup>1</sup> expressing torque in hydraulic turbines and where  $P$  is replaced by mass flow and  $nk_\phi^{\text{in}}/c$ ,  $nk_\phi^{\text{out}}/c$  by the azimuthal components of inlet and outlet velocities.



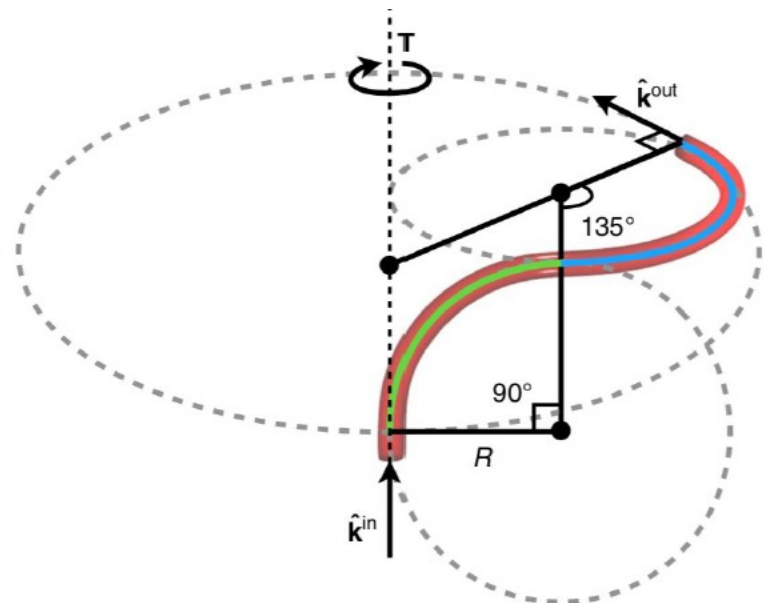
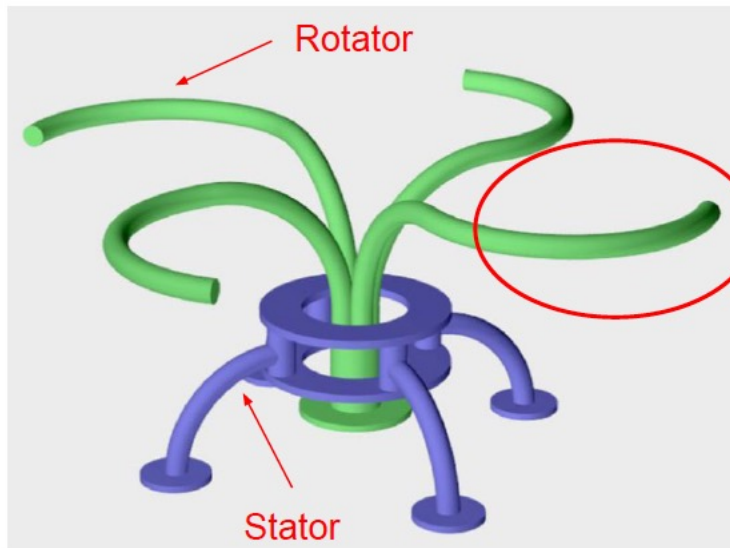
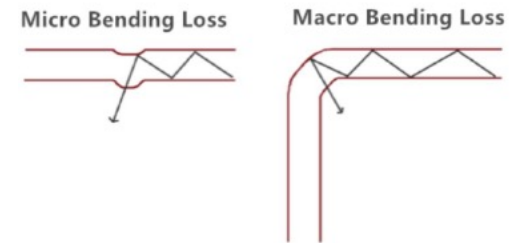
# Optical reaction turbine

- Design to optimize momentum transfer (garden sprinkler)



# Optical reaction turbine

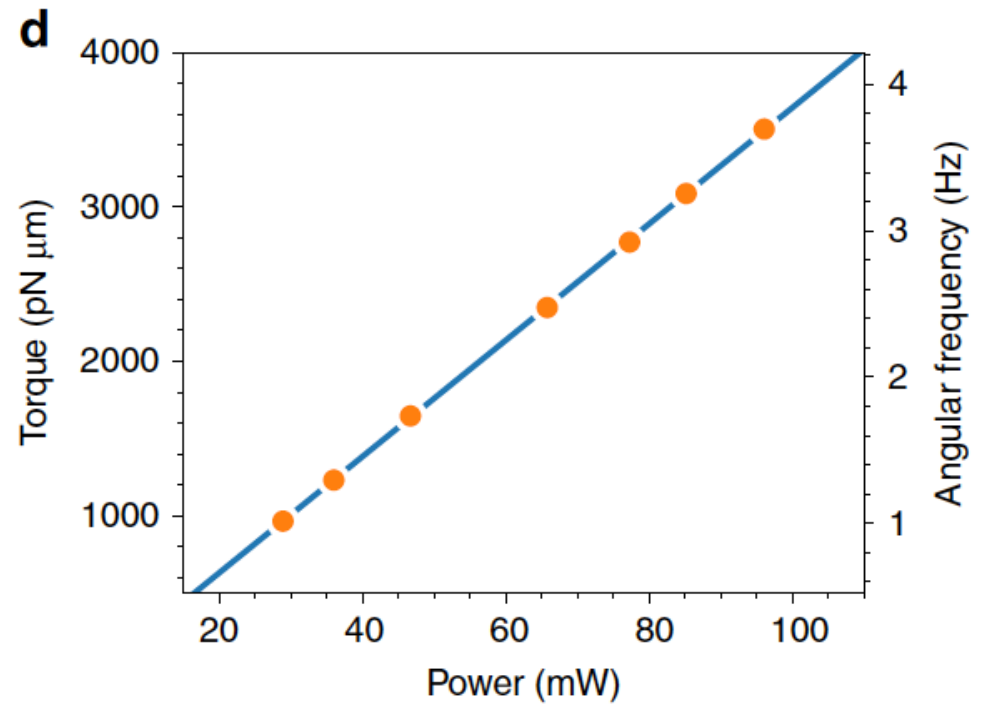
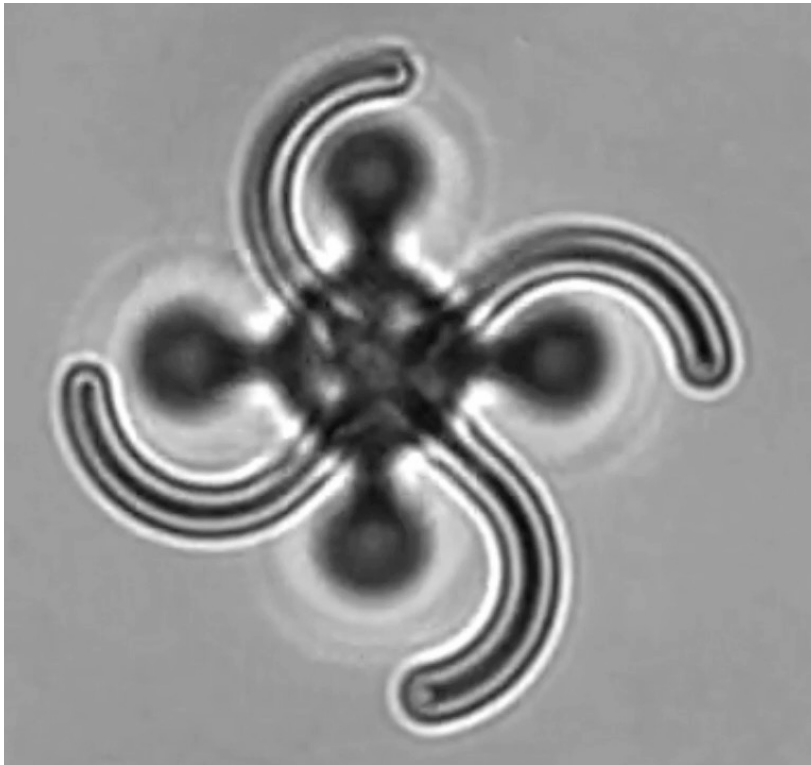
- Guided propagation of light
- Maximize reaction torque while minimizing losses due to bending of the structure
- Source of losses: coupling, splitting, bending





# Optical reaction turbine

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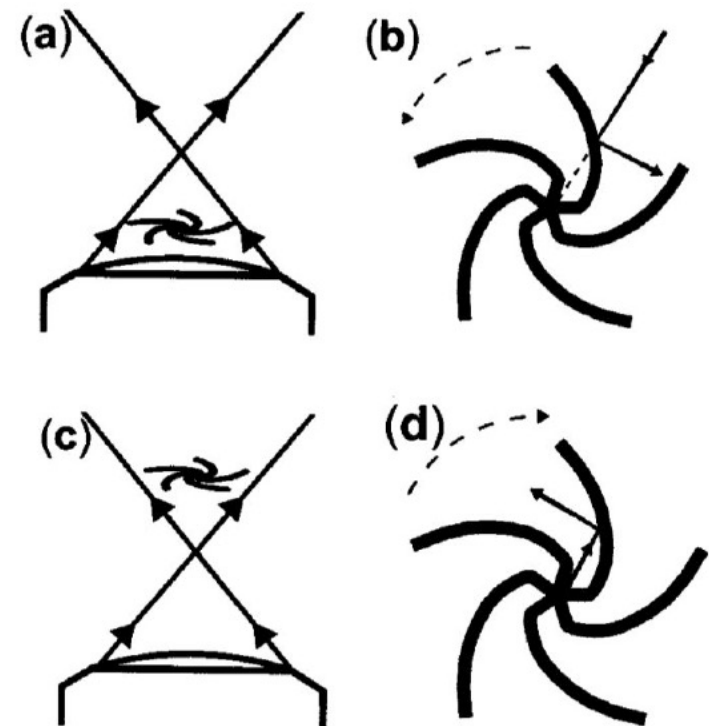
# Optical impulse turbine (light mill)

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- Optical tweezers and radiation force
- Torque is induced by the scattering of light on an anisotropic object

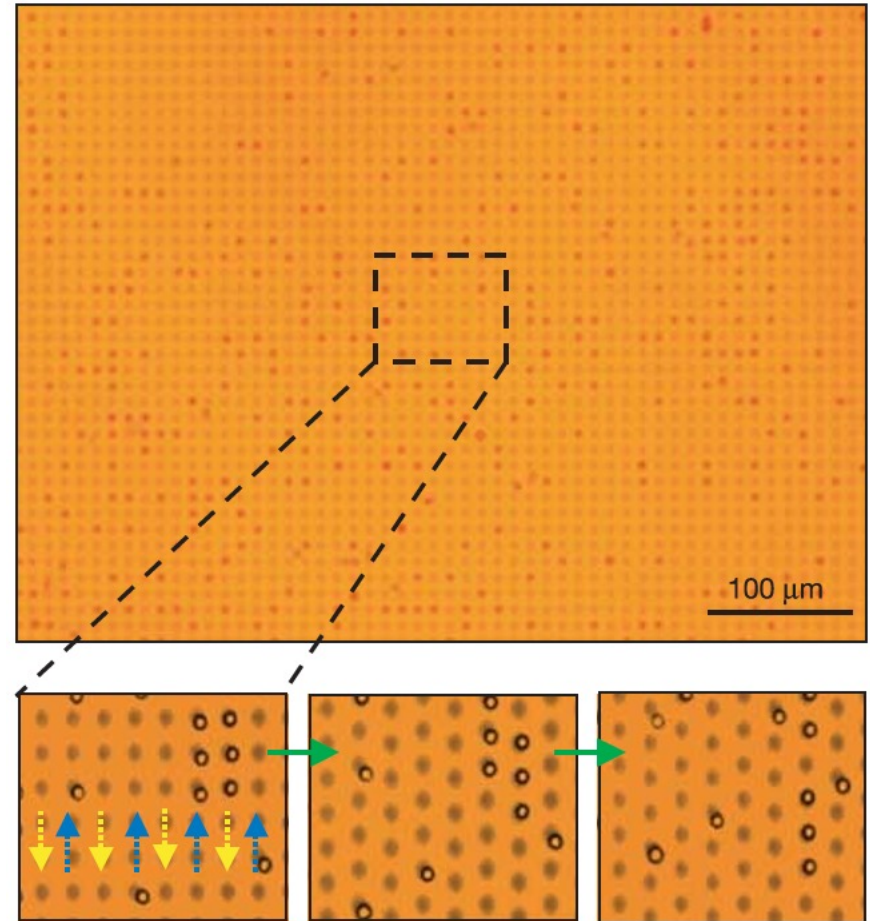
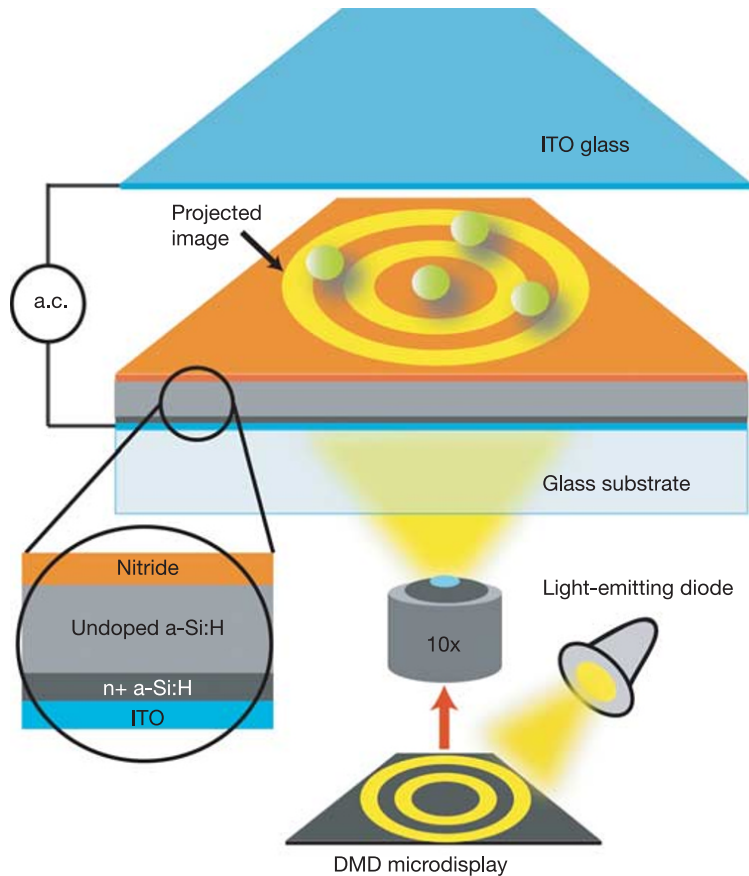
## The light beam

- Below the focal plane, has large forces pointing toward axis
- Above the focal plane, the forces are pointing away from the axis



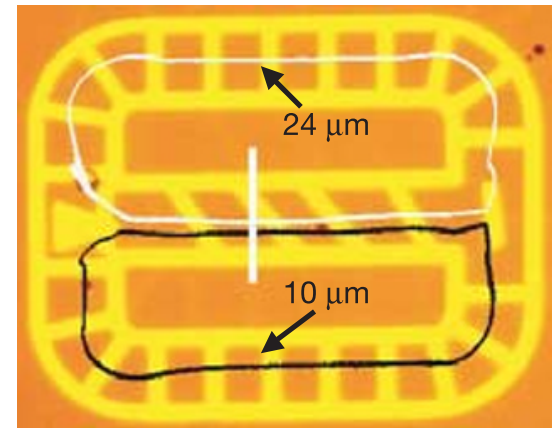
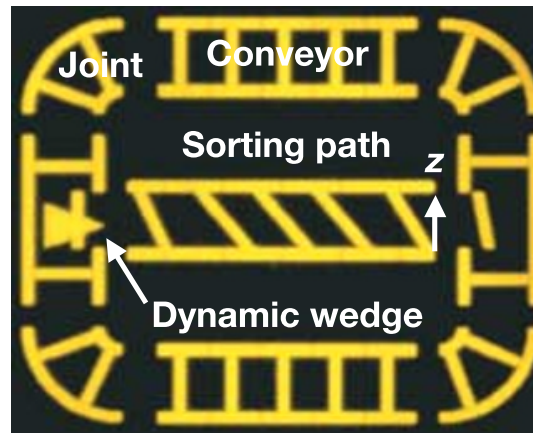
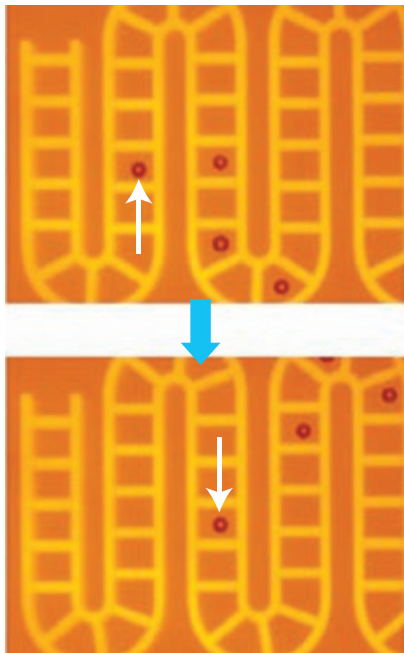
# Optoelectronic tweezers

- Using projected light patterns to form virtual electrodes on a photosensitive substrate



# Optoelectronic tweezers

- High-resolution dielectrophoresis (DEP) electrodes
- Induced dipoles in particles subjected to non-uniform electric field
- Electric field gradient and polarizability of the particle
  - Dielectric properties of the particle and the medium



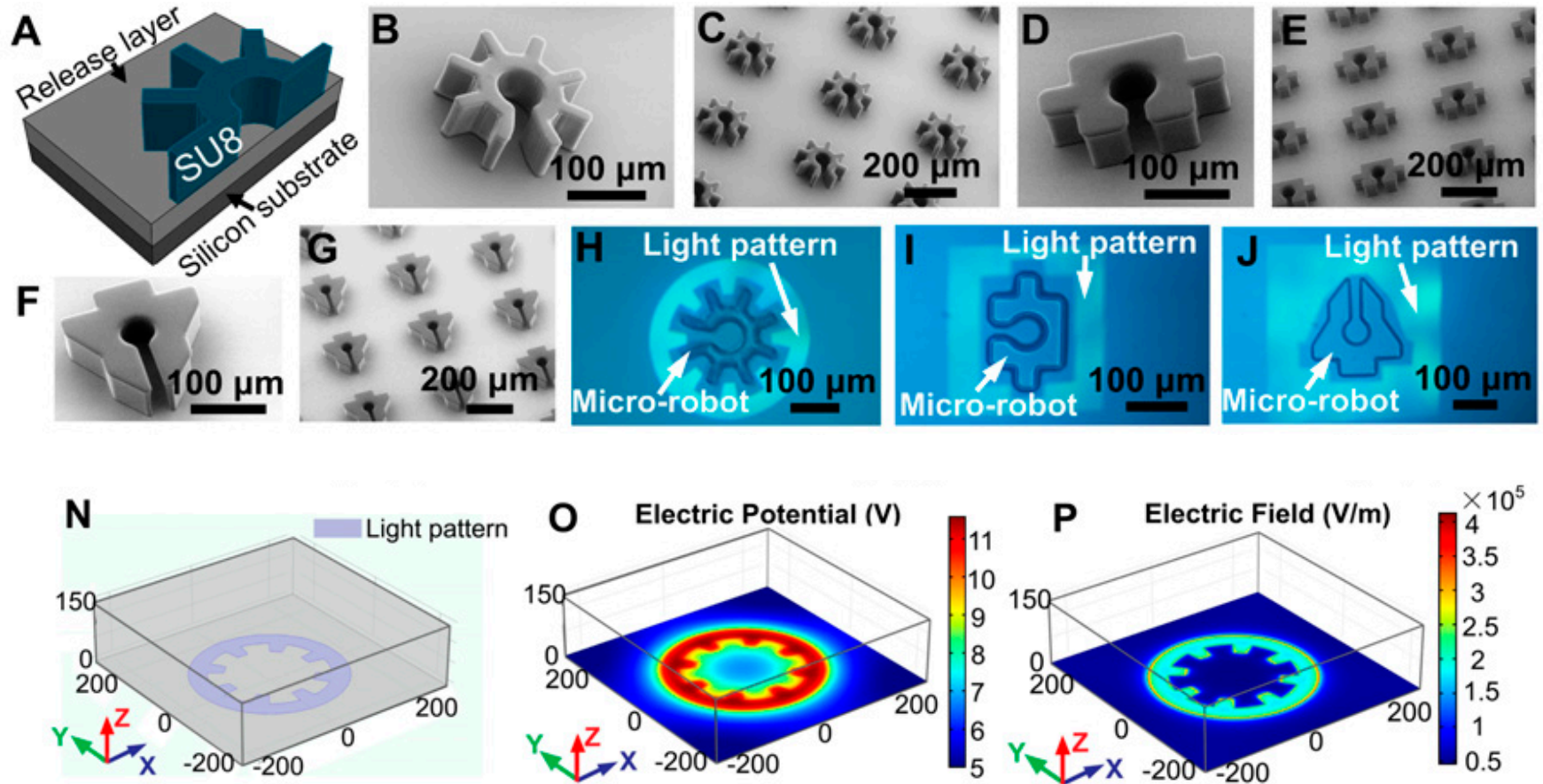
# Optoelectronic tweezers

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- Low voltage: 10 V peak-to peak
- Optical intensity: 10 nW/ $\mu\text{m}^2$ 
  - 100,000 times lower than that used in optical tweezers
- Pixel size: 1.52  $\mu\text{m}$  with 10X objective
- 1 mW output power 625 nm laser: 40,000 pixels
- 1 mm x 1 mm manipulation area
  - 500 times larger than that of optical tweezers

# Optoelectronic microrobot

- Negative DEP force to hold microfabricated objects





# Optoelectronic microrobot

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Loading, transporting and unloading a micro-bead  
using a cogwheel-shape micro-robot

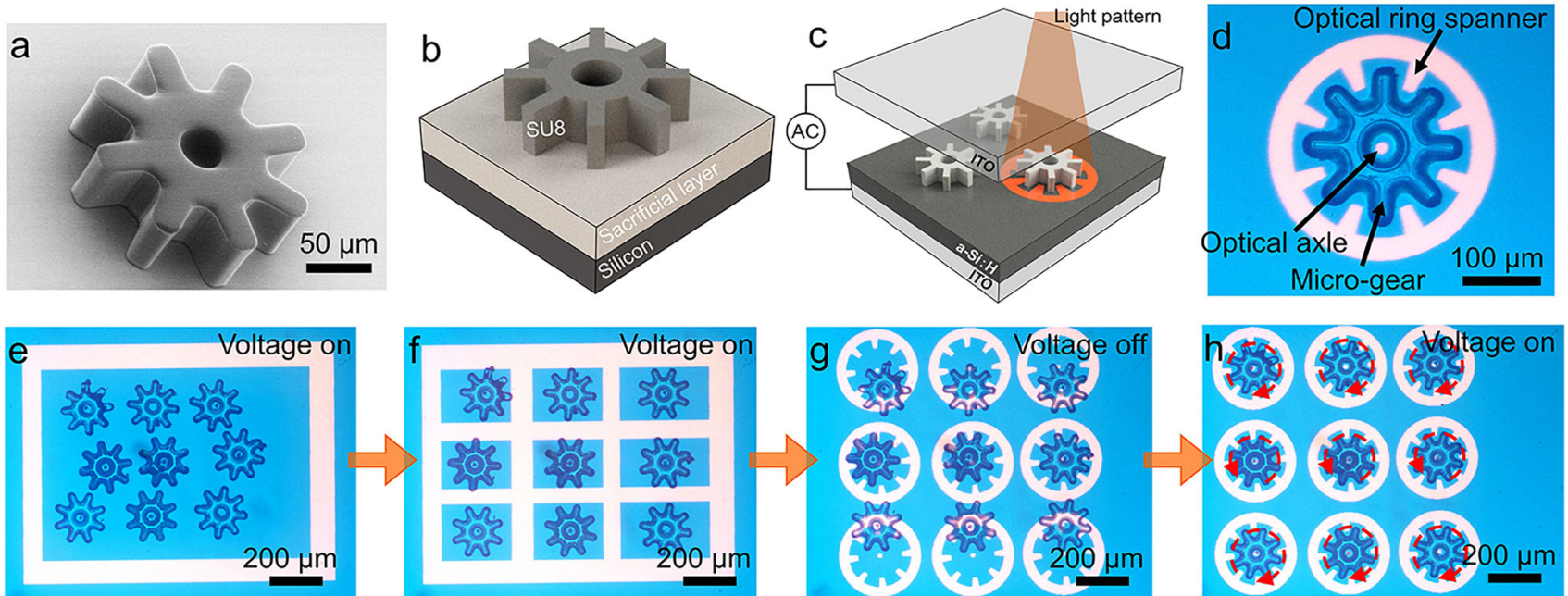
# Optoelectronic microrobot

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Simultaneously moving four cogwheel-shape  
micro-robots along different directions

# Optoelectronic microrobot

- Micromotors and compound mechanisms



# Optoelectronic microrobot

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A 20  $\mu\text{m}$  microbead is propelled by  
a touchless micro feed-roller

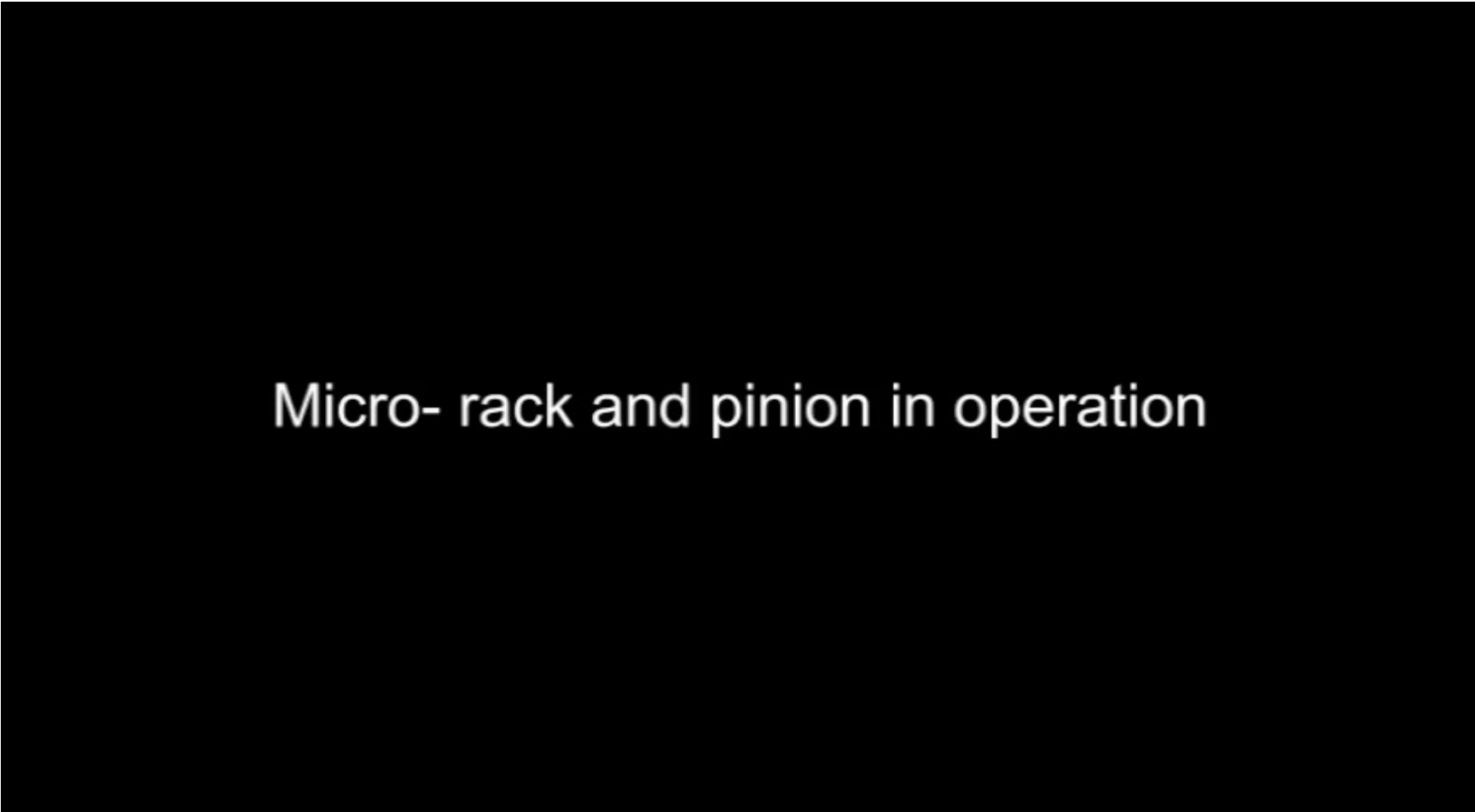
# Optoelectronic microrobot

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Micro-gear-train comprising one active  
micro-gear driving one passive micro-gear

# Optoelectronic microrobot

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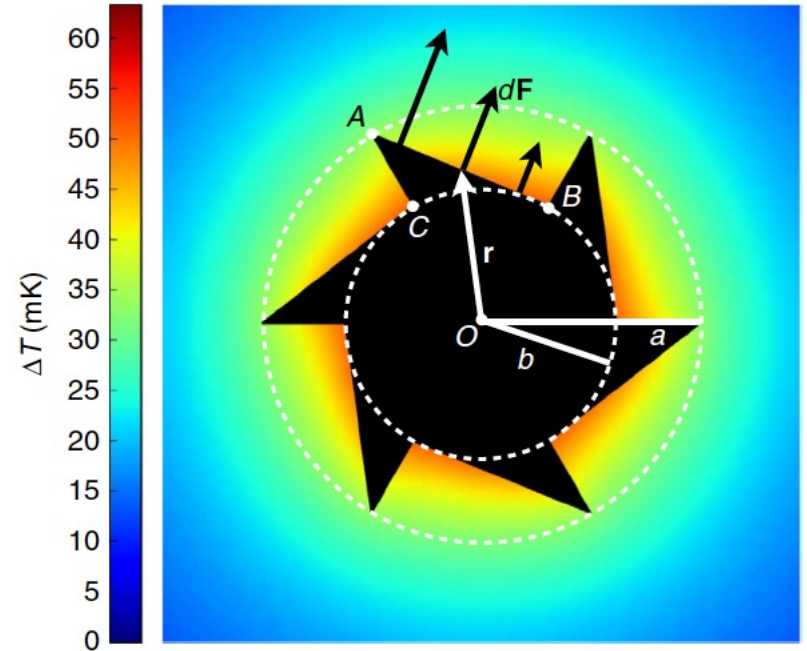
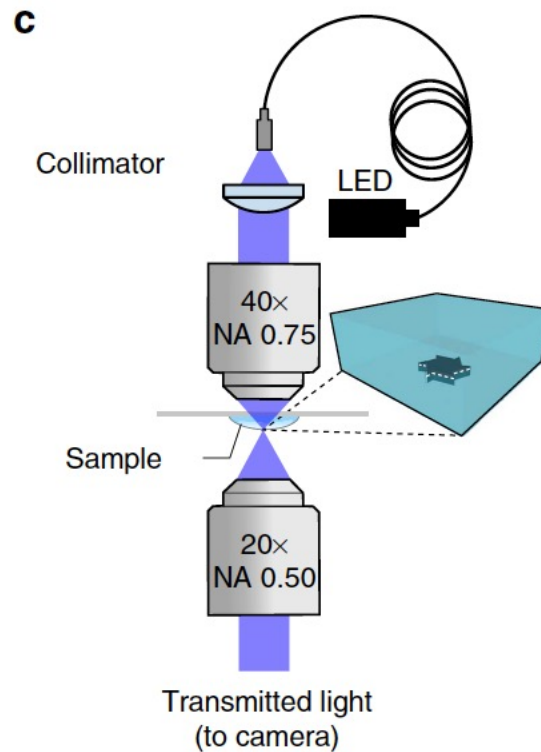
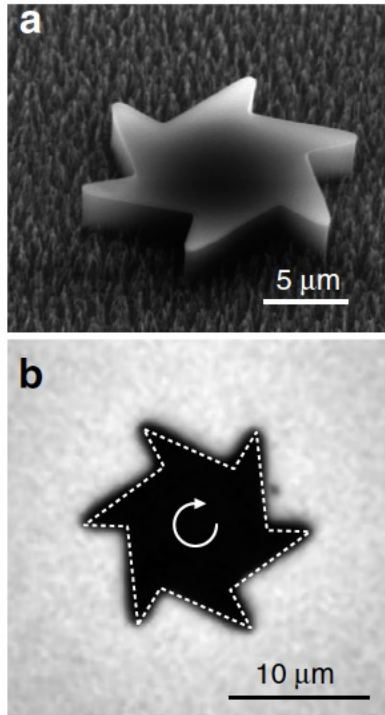


Micro- rack and pinion in operation



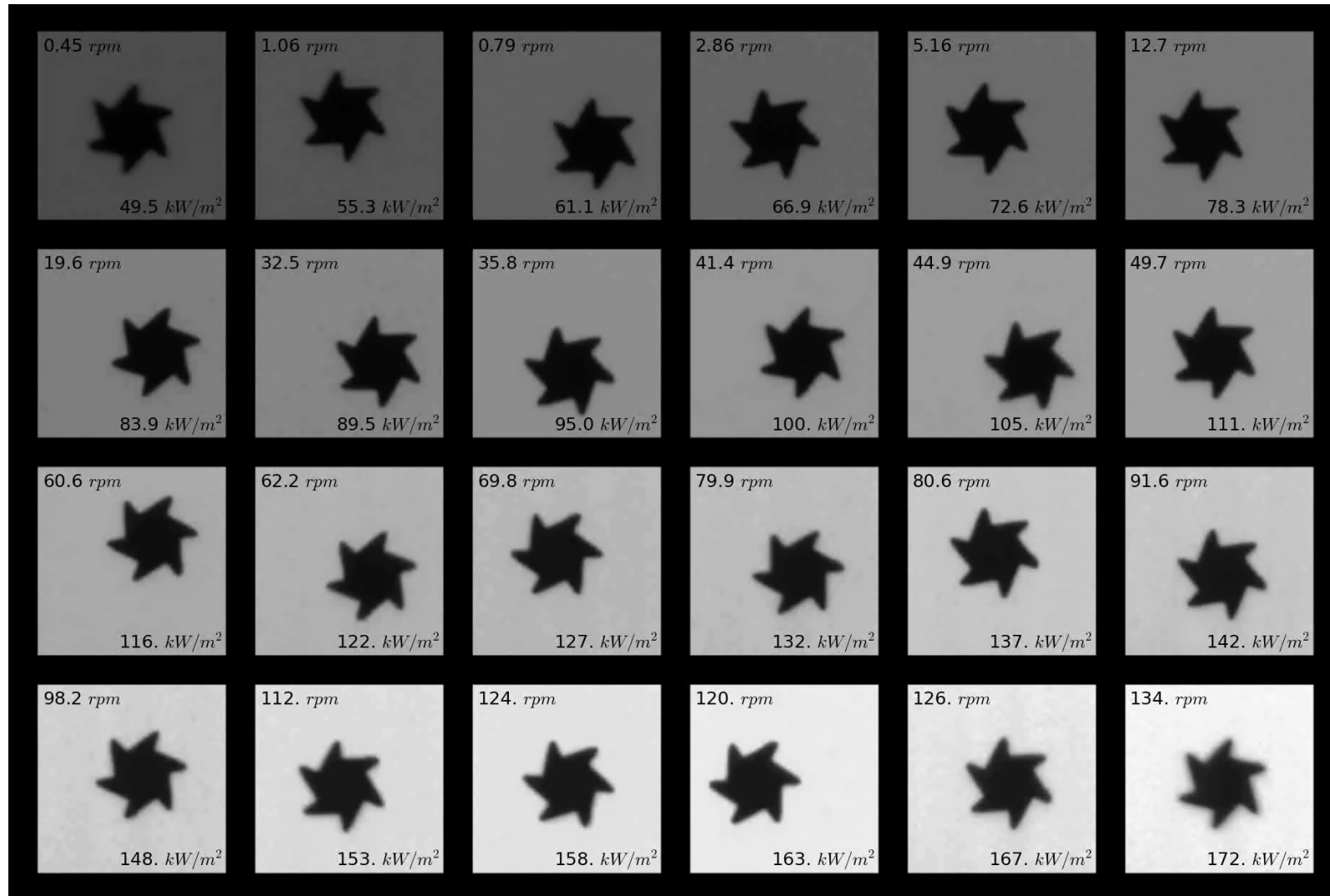
# Thermocapillary Forces

- Microgear at the liquid-air interface
- Carbon coating to increase absorption
- Marangoni effect



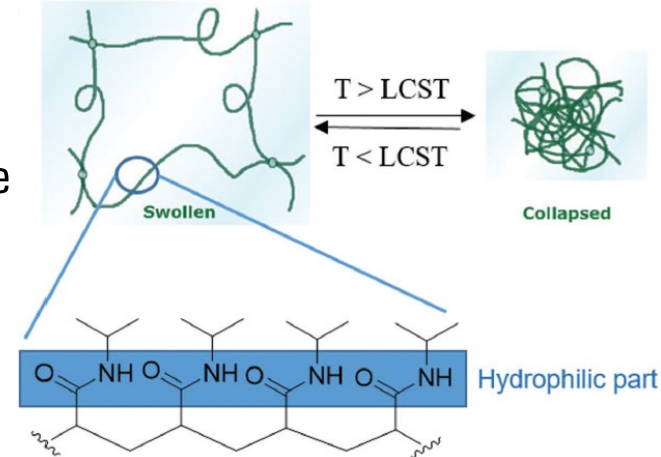
$$\boldsymbol{\tau} = \int \mathbf{r} \times d\mathbf{F} = \int \mathbf{r} \times \hat{n} \gamma(T) ds,$$

# Thermocapillary Forces



# Smart hydrogels

- Gels made of networks of cross-linked long polymer chains
- Soft material: Young's modulus in the kPa range
- Absorb-repel water in response to an external stimulus (swelling)
  - Temperature, pH, chemicals
- Relatively small forces but high volumetric change
  - Energy densities up to  $460 \text{ kJ m}^{-3}$
  - Strains of up to 90% under 4 MPa load
  - Can be stretched up to 1200%
  - Response is diffusion limited: millimeter sized gels  $\sim$ seconds
  - Chemical stability and performance degradation in time

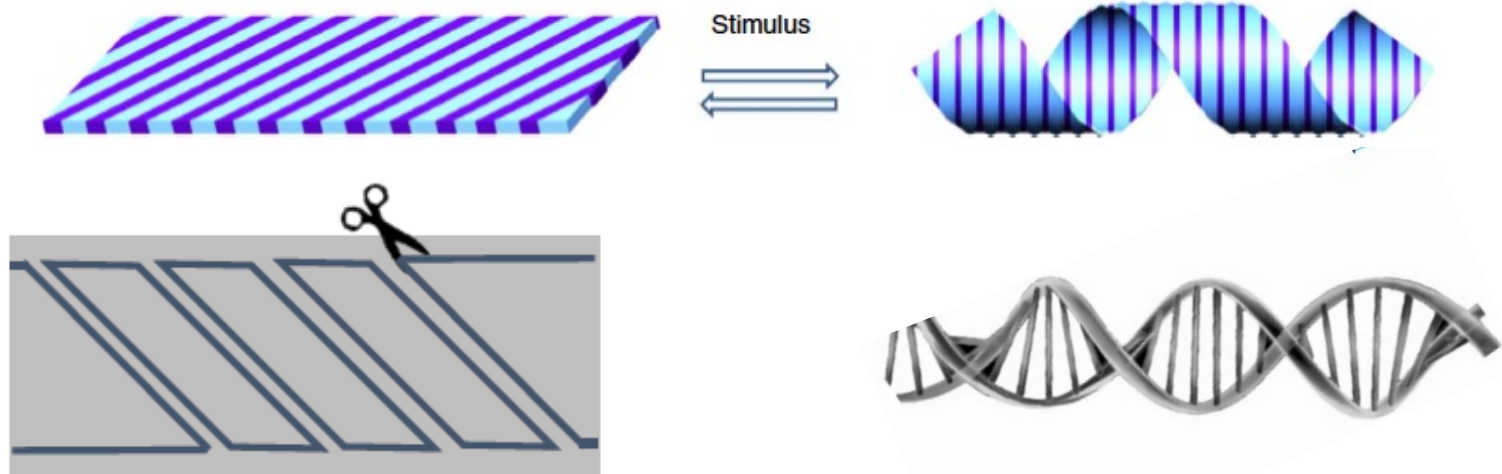


# Thermoresponsive Hydrogels

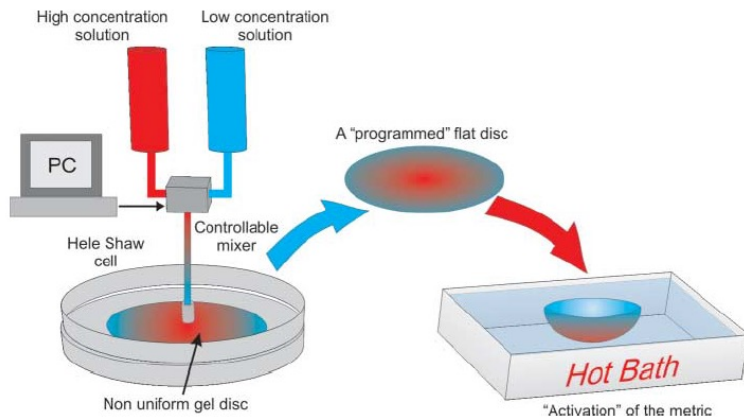
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- Lower critical solution temperature (LCST) and upper critical solution temperature (UCST)
- poly(N-isopropylacrylamide) or pNIPAM: a combination of hydrophilic and hydrophobic segments in the polymer chain
  - At temperatures below LCST, swell due to domination of hydrophilic interactions with water
  - At temperature above LCST, the hydrogen bonds with water are broken and hydrophobic interactions among the polymer chains dominate, which result in deswelling

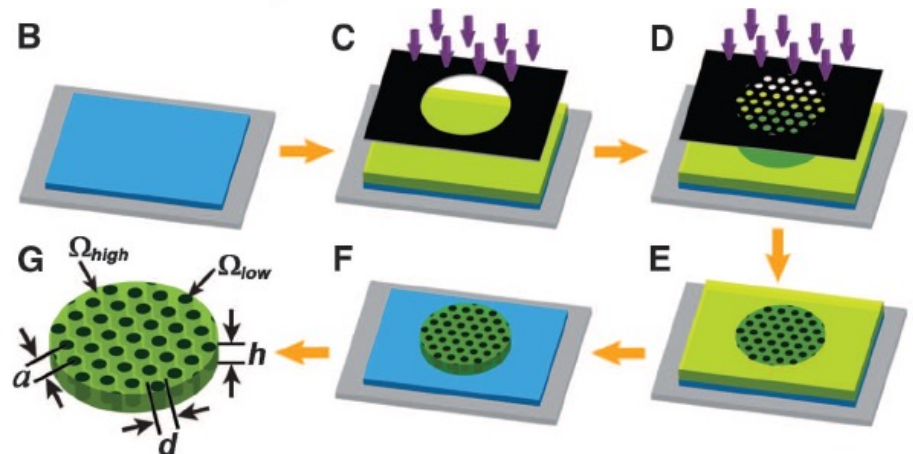
# Origami and Kirigami with Hydrogels



Klein et al, *Science*, 2007

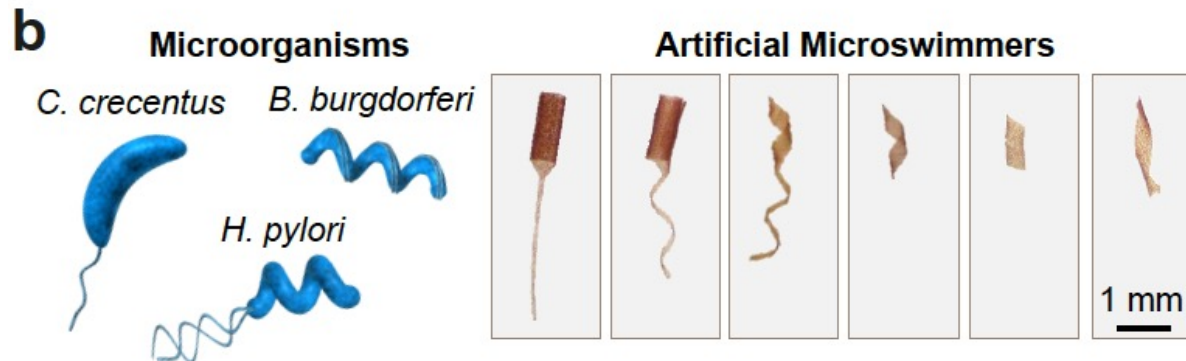
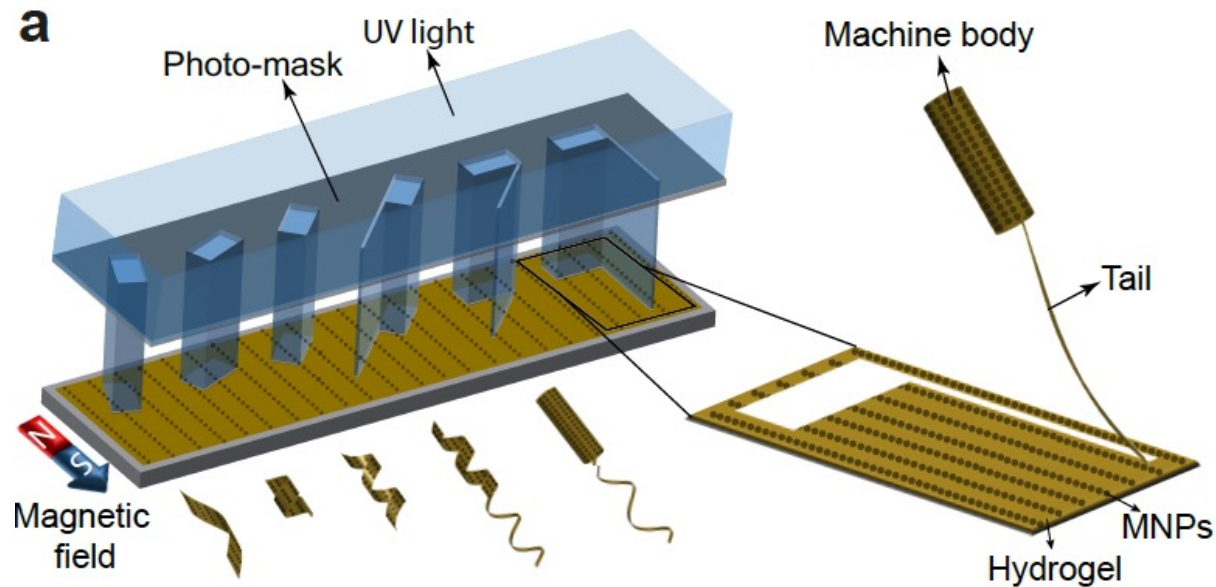


Kim et al, *Science*, 2012



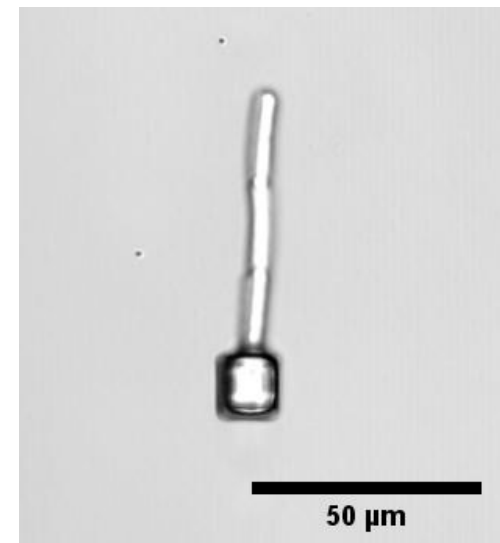
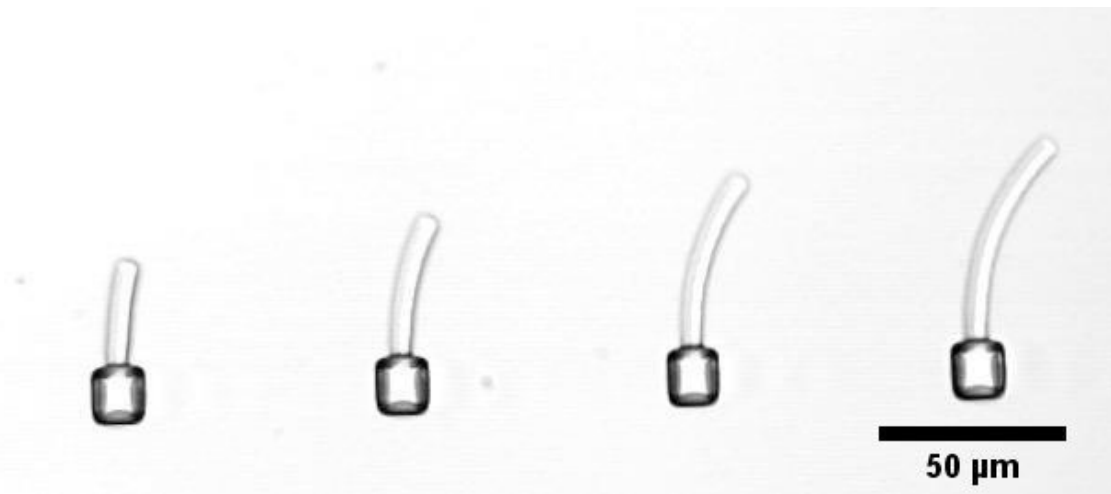
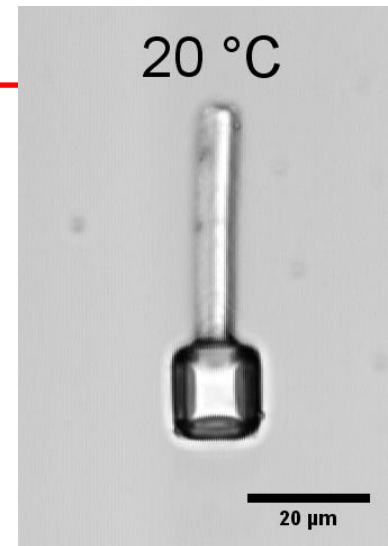
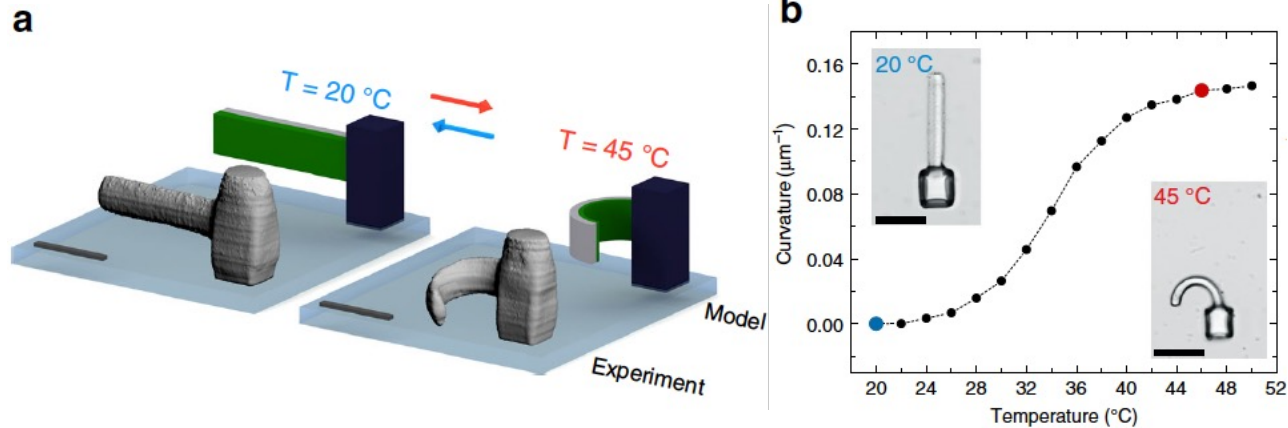
# Programmable self-folding

- Differential Swelling via Particle Gradients

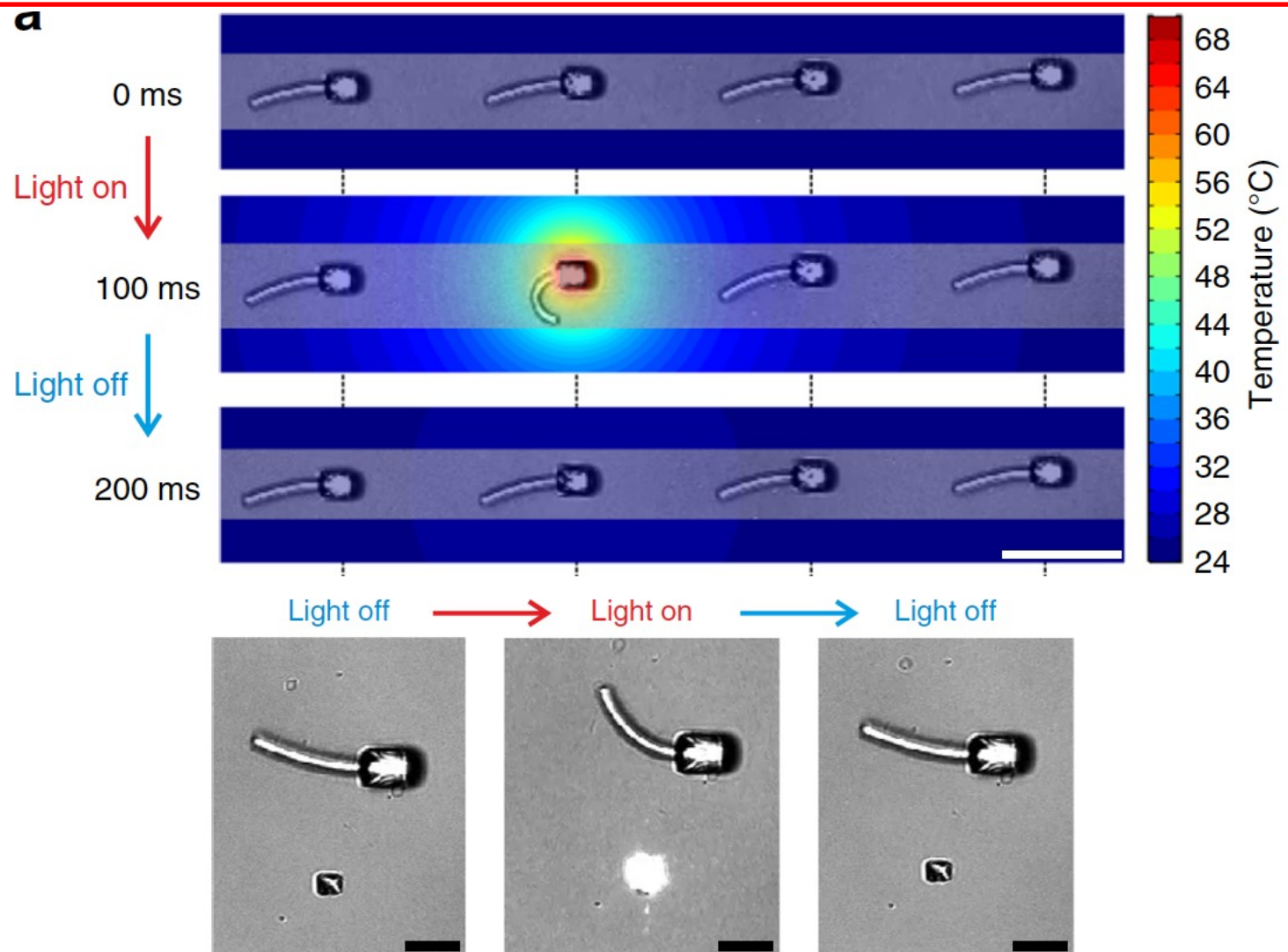




# Direct Laser Writing of Actuators



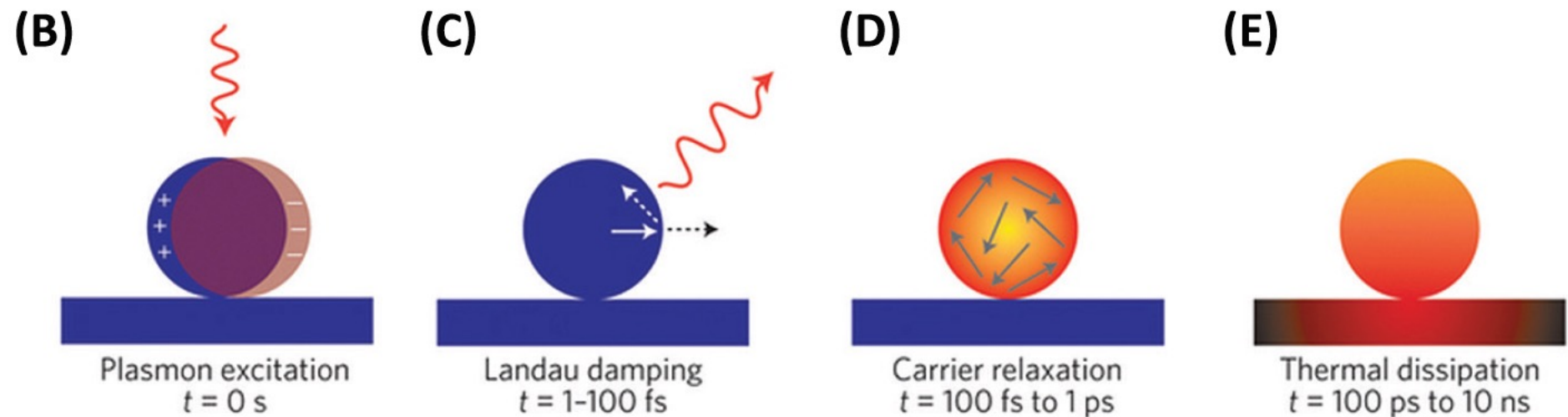
# Laser-induced Actuation



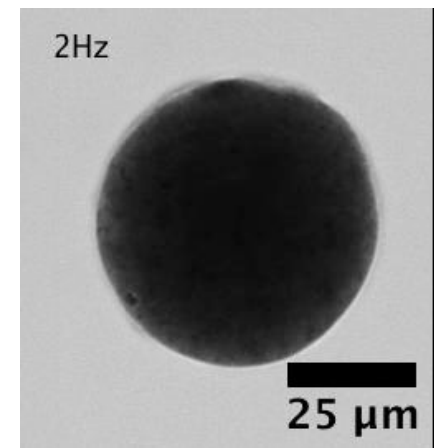
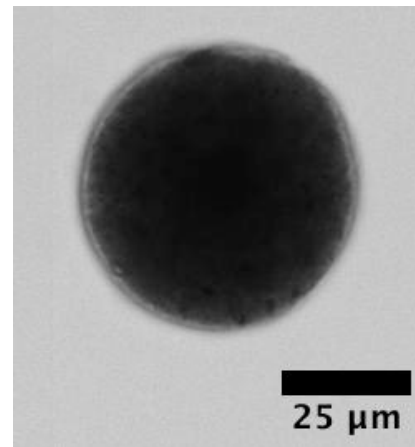
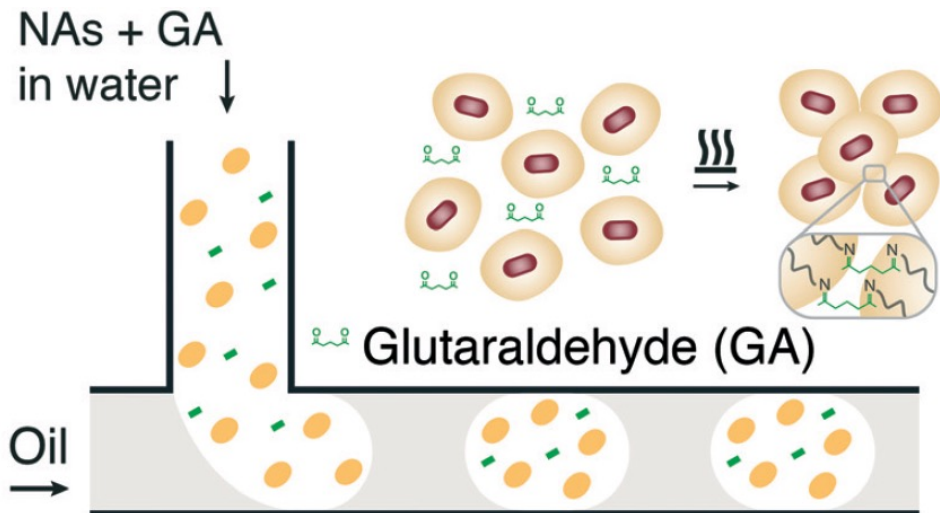
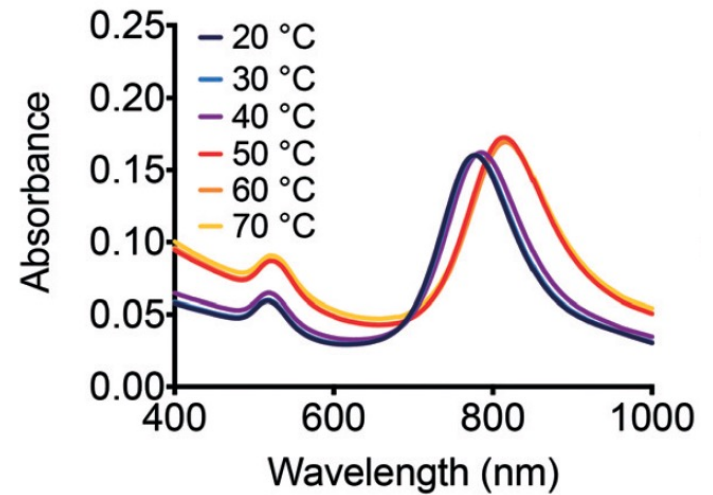
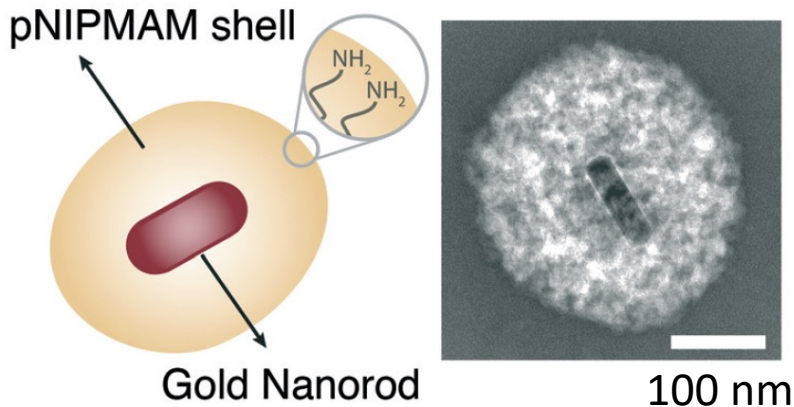
# Plasmon Resonance

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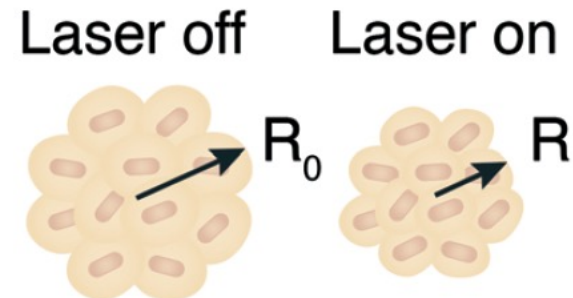
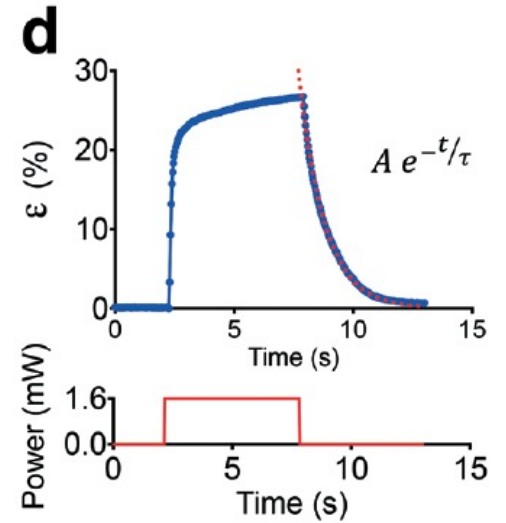
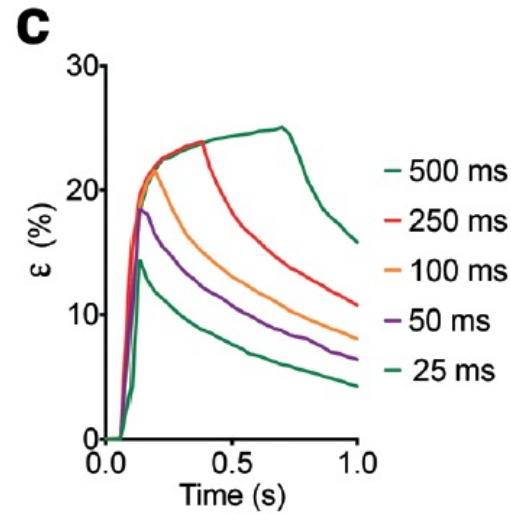
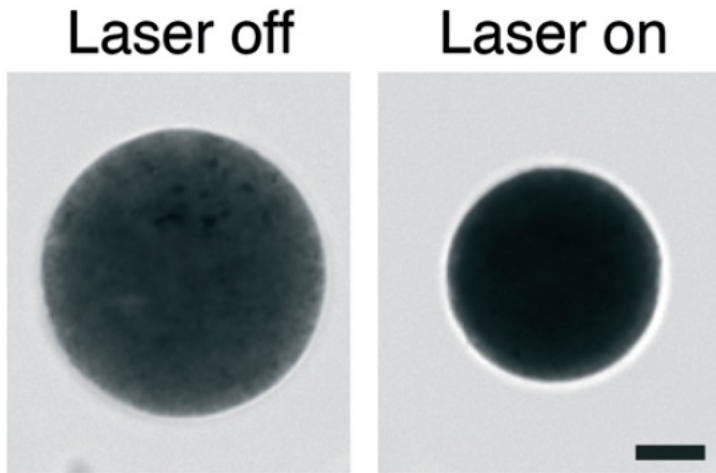
- The oscillating electromagnetic field of the light causes the free electrons of the gold nanoparticles to collectively coherently oscillate (about a metal-dielectric interface)
- Plasma like behavior of the electrons once they are labile
- Localized surface plasmon is geometrically confined to nanoparticle



# Optomechanical Nanoactuators

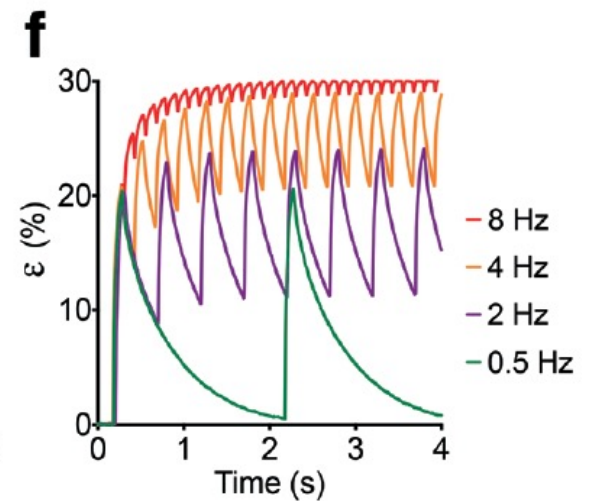
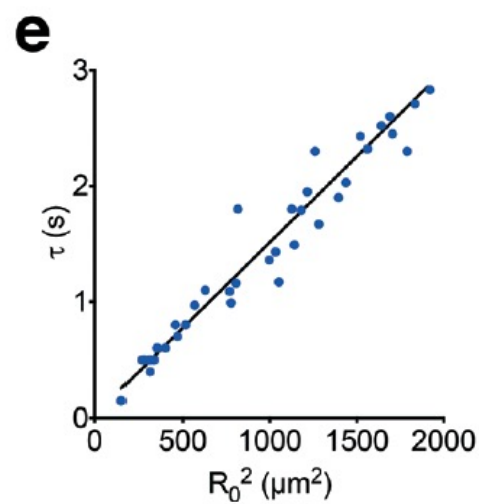


# Plasmon Resonance



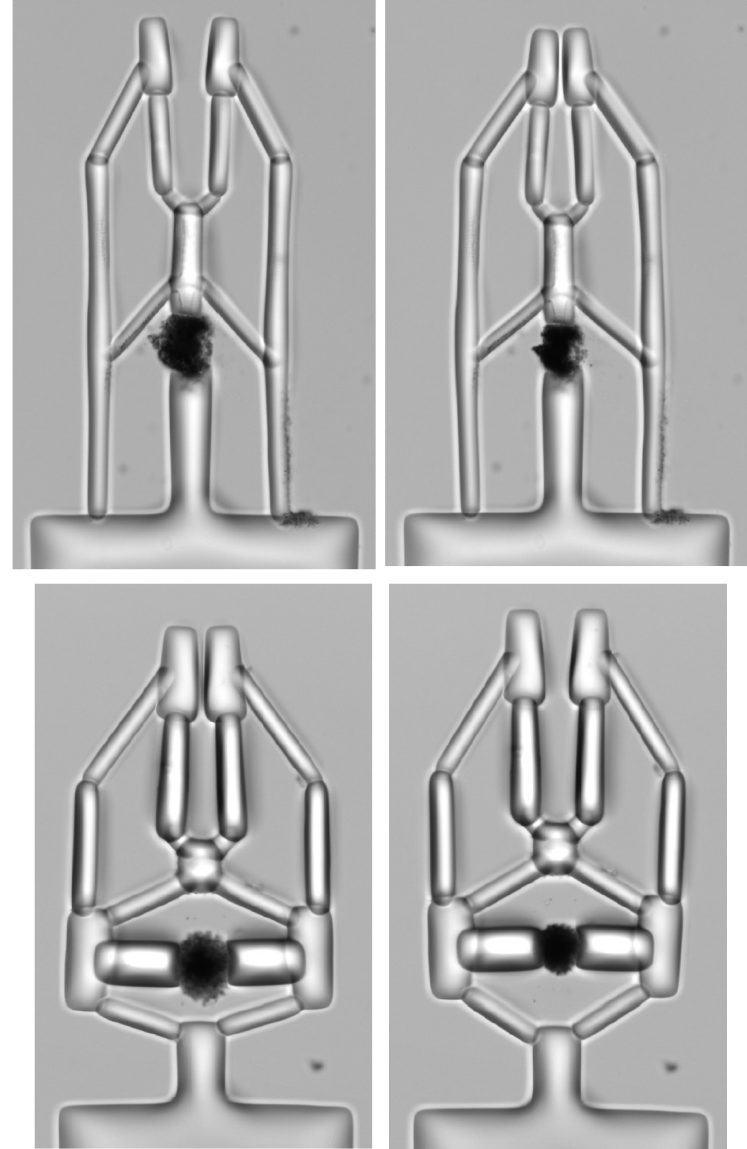
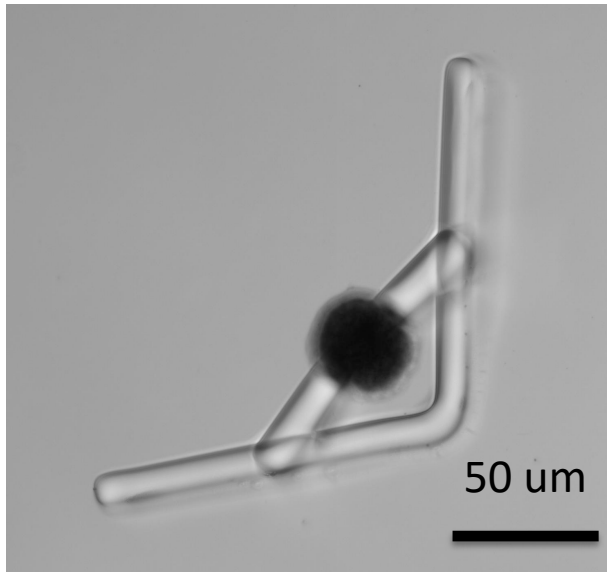
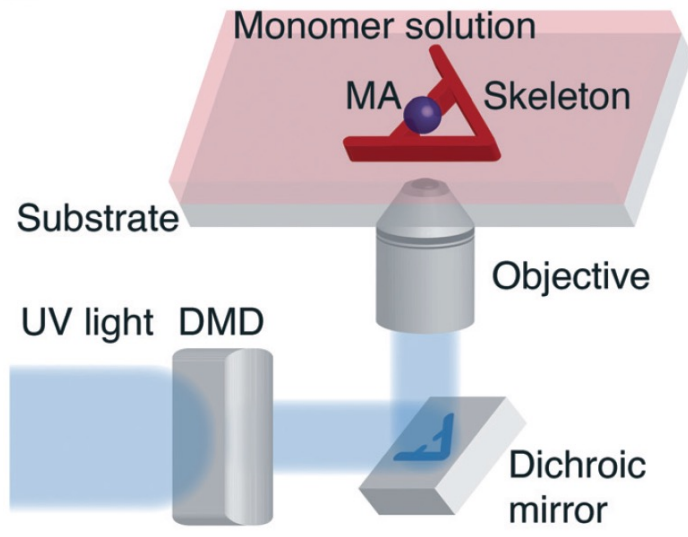
Actuation strain (%)

$$\varepsilon = \frac{R_0 - R}{R_0} \times 100$$



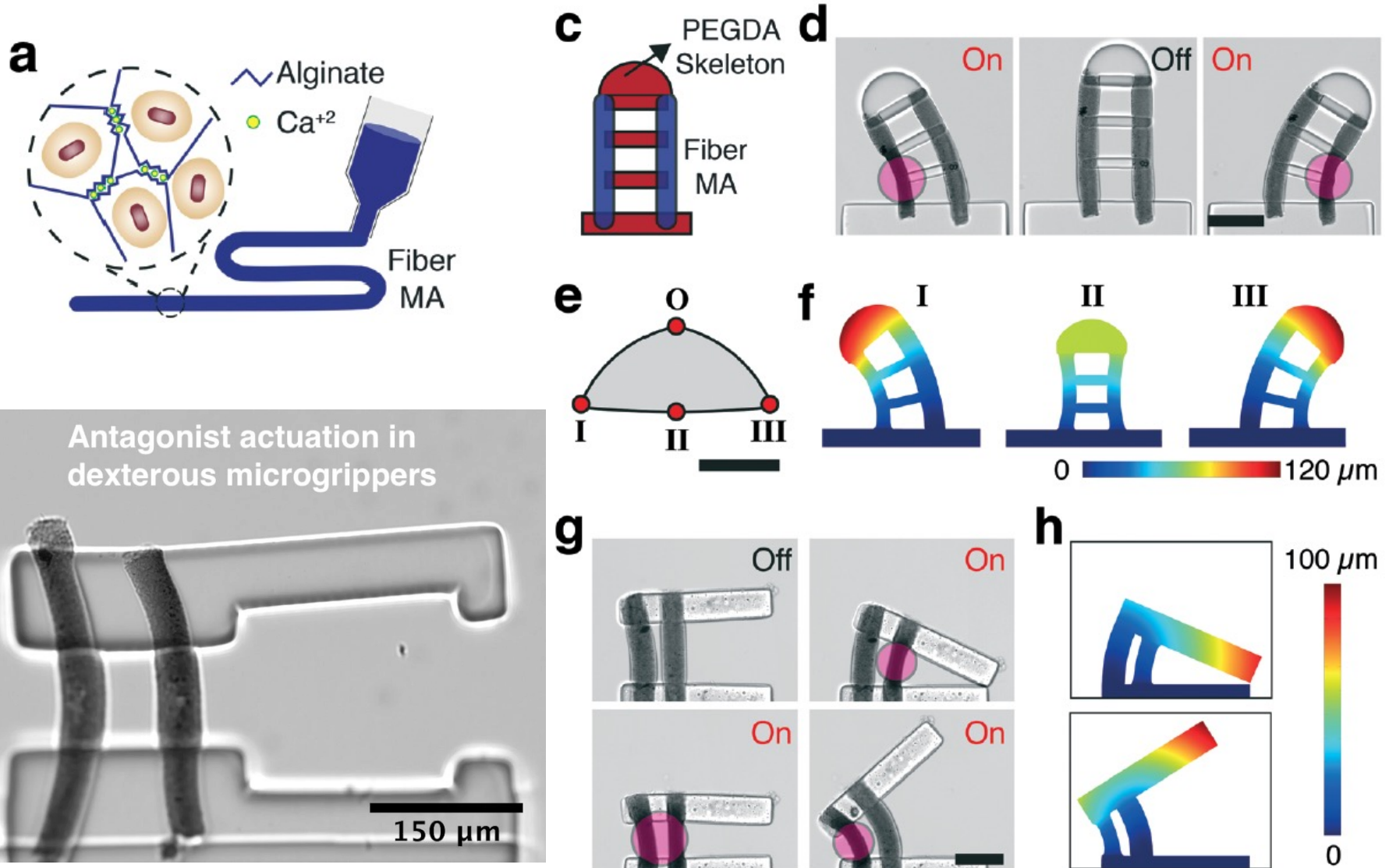


# Hierarchical Assembly and Control



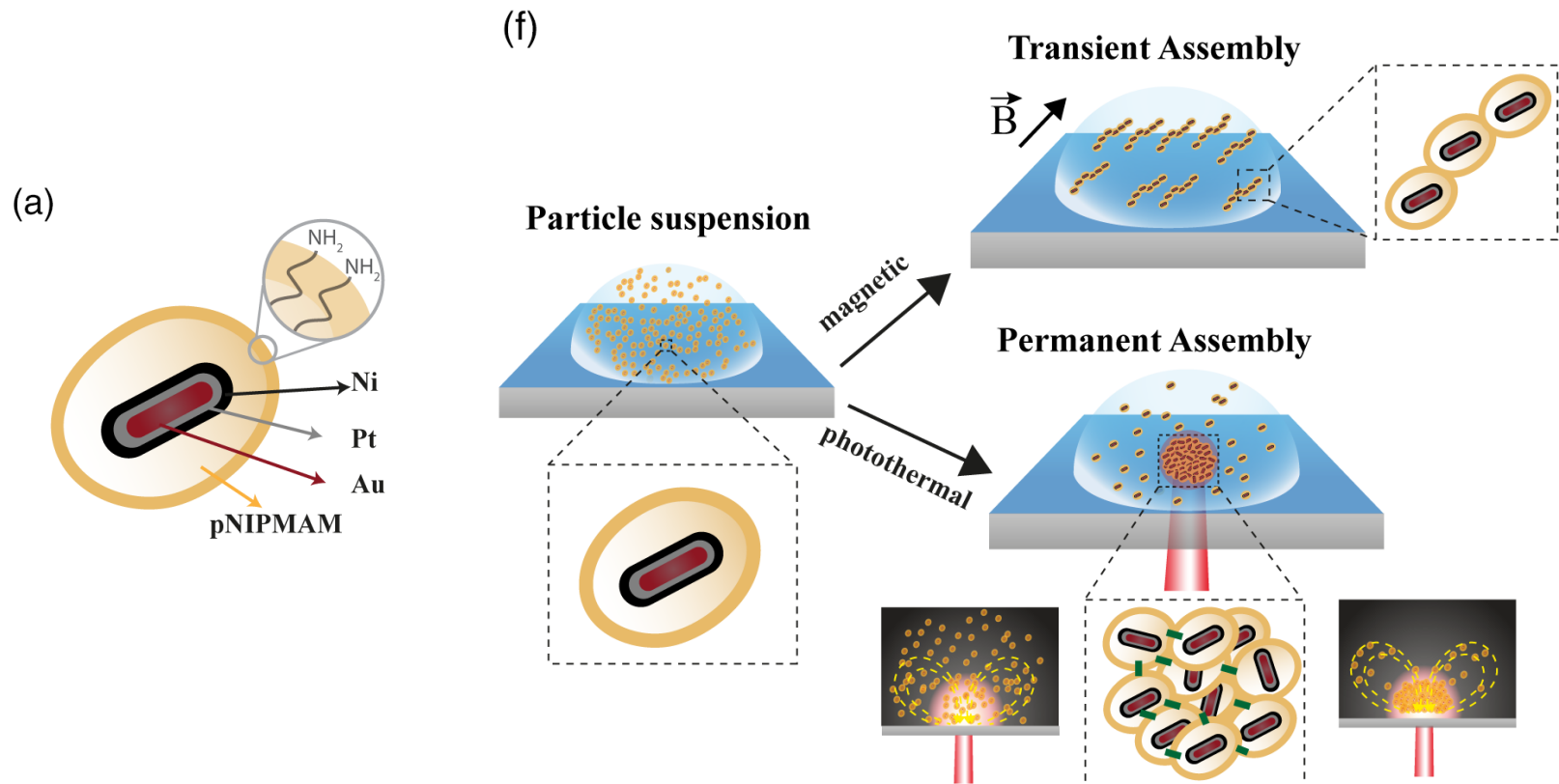


# Hierarchical Assembly and Control



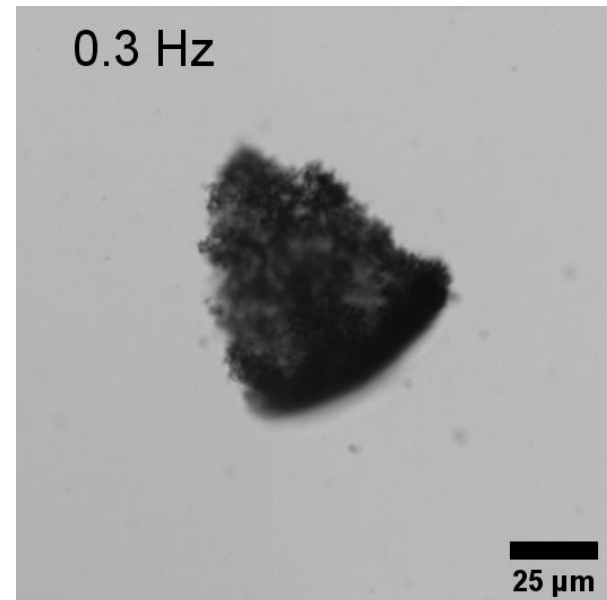
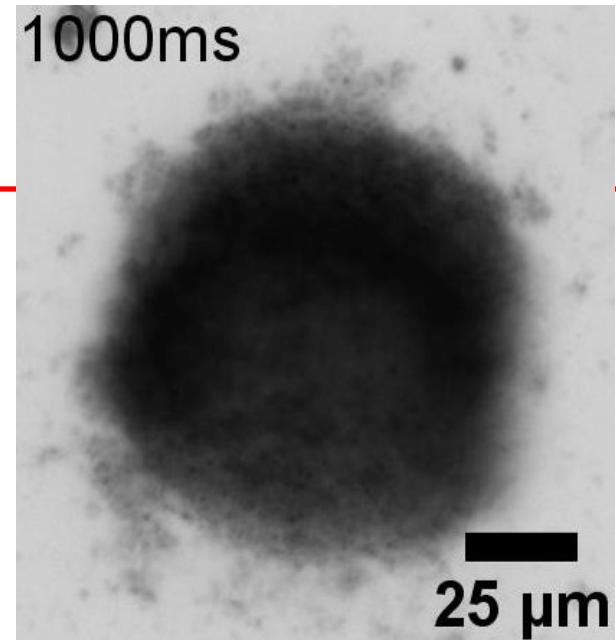
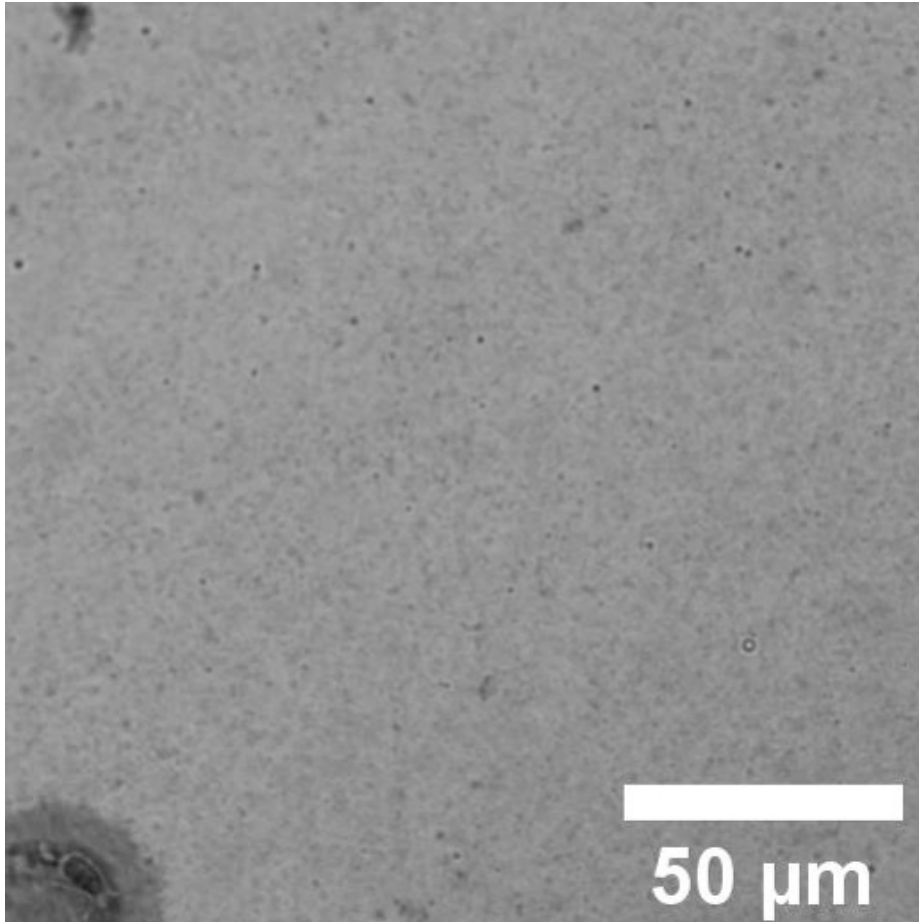
# Colloidal assembly

- Marangoni flows, photocatalytic reactions, magnetics



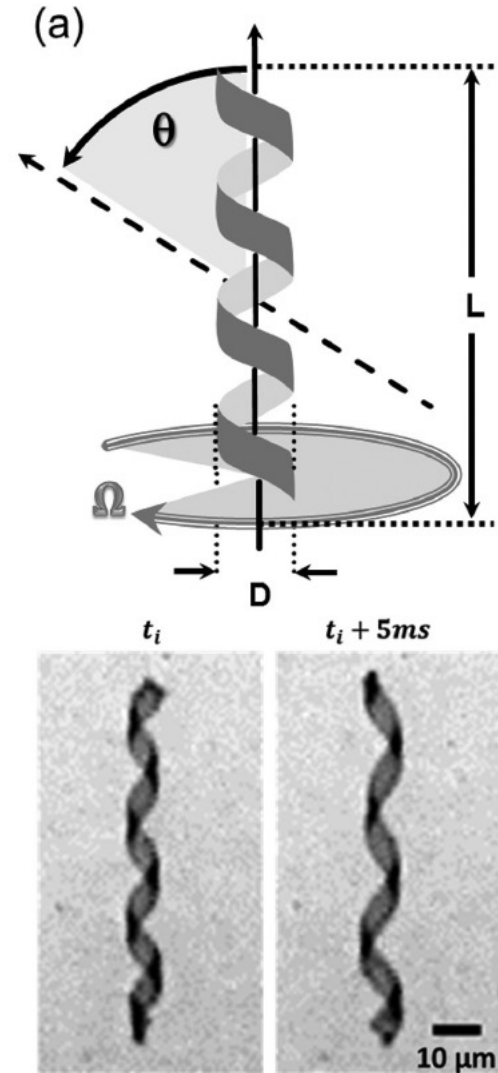
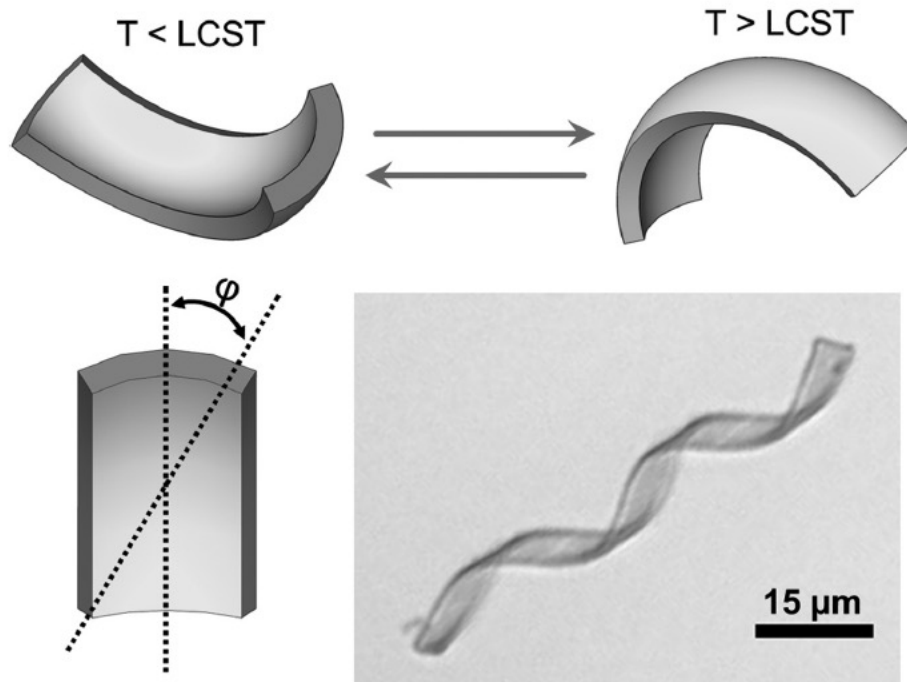
# Colloidal assembly

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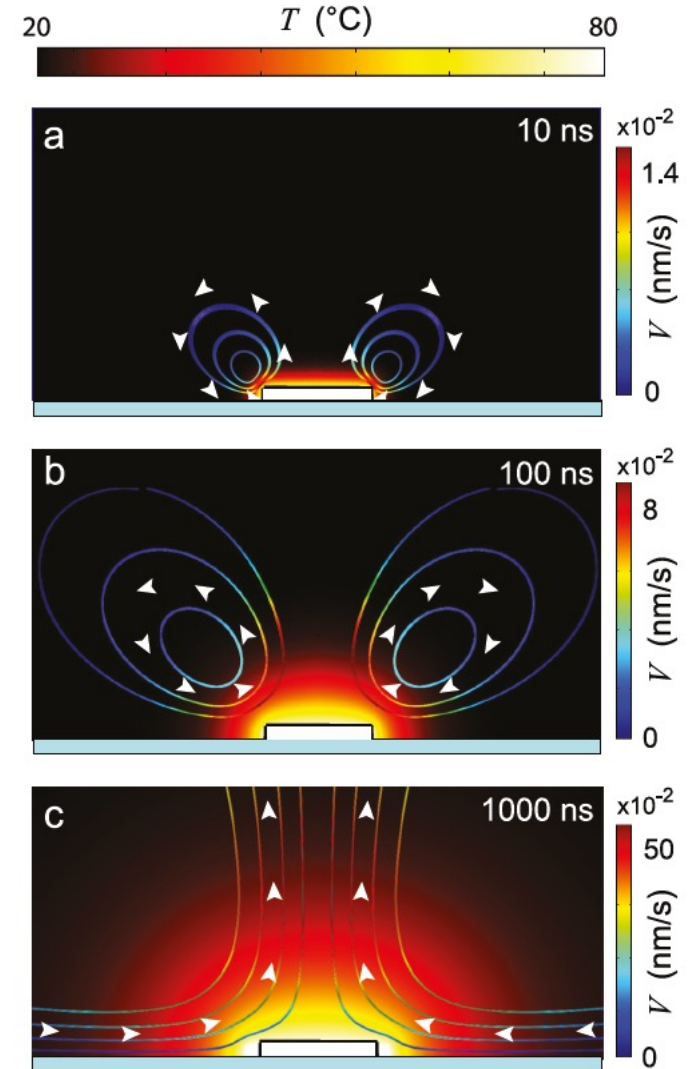
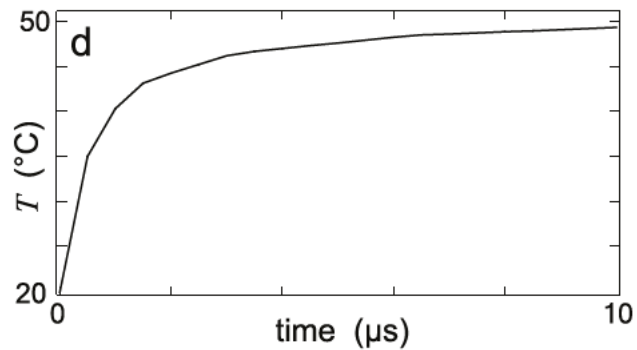
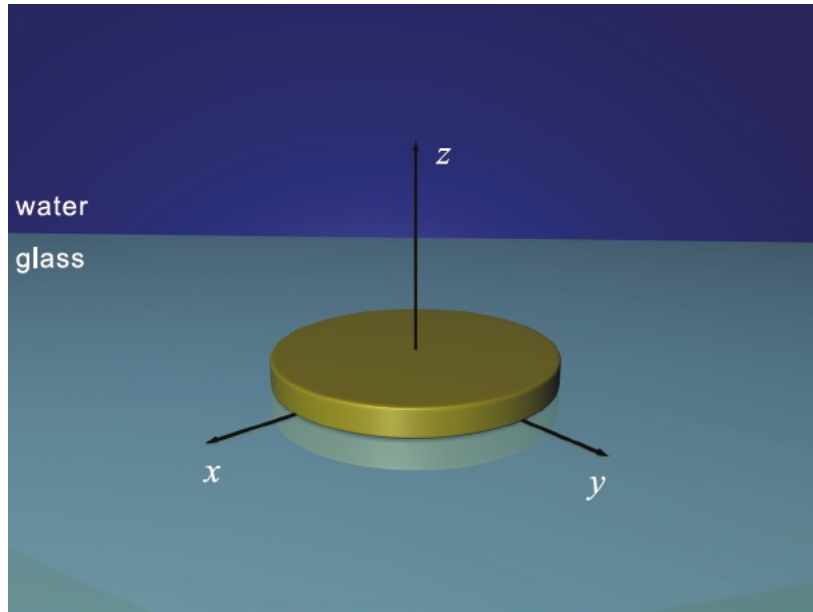


# Photothermal mobile micromachines

- From contraction of the gel to swimming

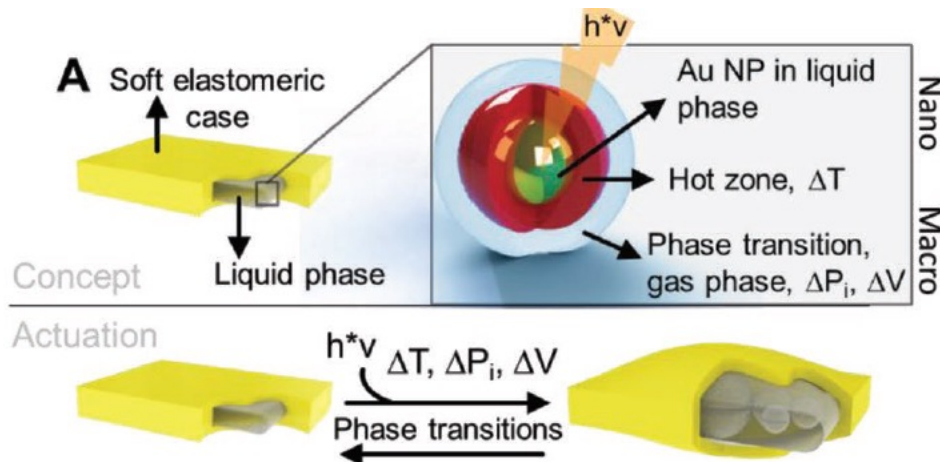
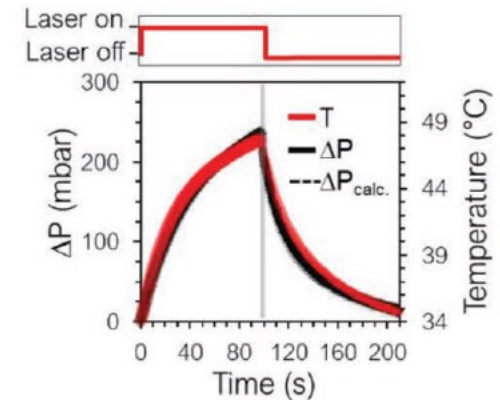


# Plasmon Resonance: Fluid Convection



# Photothermal steam engines

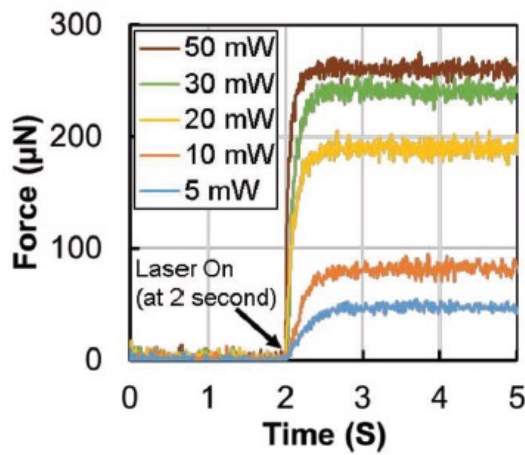
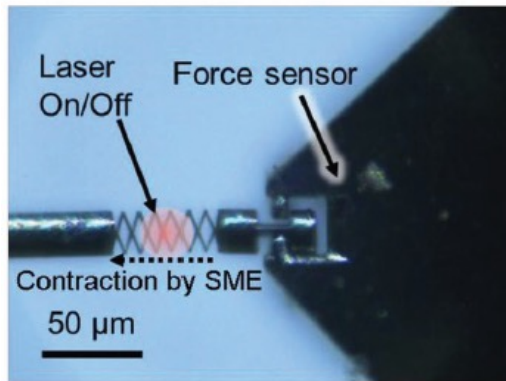
- Upon laser excitation, the nanoparticles convert light of specific wavelength into heat and initiate a liquid-to-gas phase transition.
- The related pressure increase inflates the elastomers in response to laser wavelength, intensity, direction, and on-off pulses.
- During laser-off periods, heating halts and condensation of the gas phase renders the actuation reversible.





# SMA Microactuators

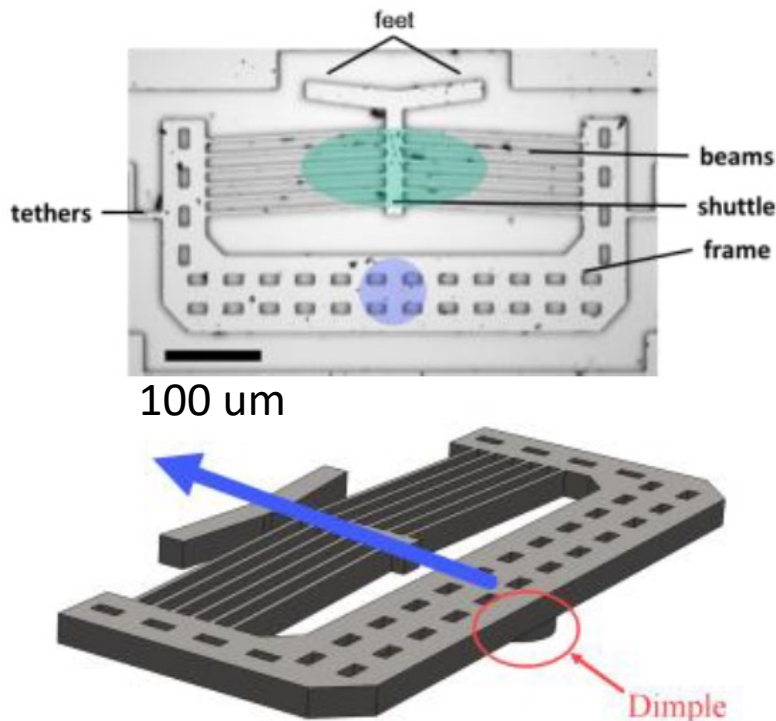
- Heat induced phase transition



Specification	Muscle fiber	SMA microactuator [unit cell]
Maximum frequency [Hz]	500 <sup>[2]</sup>	1600
Maximum actuation stress [MPa]	0.1–0.4 <sup>[2]</sup>	0.75
Diameter [μm]	24.4 ± 1.1 <sup>[29]</sup> (myofibril)	25
Length [μm]	1.6–2.5 <sup>[30]</sup> (length of sarcomere)	8–25
Maximum deformation ratio	0.25–1.4 <sup>[31,32]</sup>	0.15–0.6

# Thermal expansion (impact drive)

- Based on expansion of materials due to temperature changes
- Temperature control: light absorption
- Direct expansion vs bending moment (bimorph actuator)
- Example: Actuated at resonance frequency: stick and slip motion



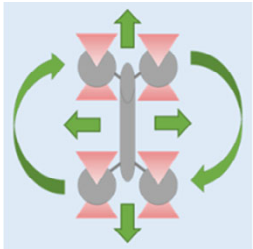
Constant	Quantity/Name	Value
$R$	Reflectivity of Silicon	0.3
$T_{env}$	Environment Temperature	20 °C
$\rho_{Si}$	Silicon Density	2328 kg · m <sup>-3</sup>
$h_{air}$	Air convection constant	10 W · (m <sup>2</sup> · K) <sup>-1</sup>
$k_{air}$	Air thermal conductivity	0.025 W · (m · K) <sup>-1</sup>
$c_{v-air}$	Air specific heat	716 J · (Kg · K) <sup>-1</sup>
$k_{Si}$	Silicon thermal conductivity	124 W · (m · K) <sup>-1</sup>
$c_{v,Si}$	Silicon specific heat	702 J · (kg · K) <sup>-1</sup>
$\alpha_{Si}$	Silicon coefficient of thermal expansion	2.6 × 10 <sup>-6</sup> (°C) <sup>-1</sup>
$\theta$	Theta (beam angle)	0.04991642 rad

# Summary on mechanisms

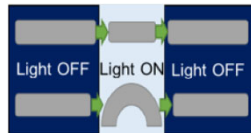
## Light as microrobot actuator

### Core strategies for controlling the motion of polymeric microrobots

*Optical trapping and manipulation* of rigid microrobots, which often include spherical handles, can be done with six degrees of freedom.

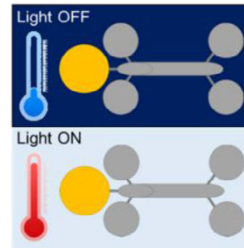


*Light-triggered shape changes* in soft stimuli-responsive microrobots can lead to various interesting microrobot motions and other operations when properly engineered.

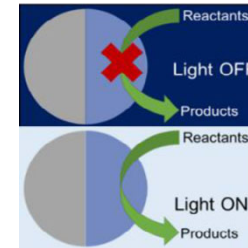


### Further activation mechanisms enabled by light

*Thermoplasmonic effects*—heat is generated by illuminating a gold-coated microrobot component due to plasmon resonances.



*Photocatalytic effects*—chemical reactions occur only upon illumination, phenomenon often exploited on Janus particles.



*Phototaxis behaviors* inspired from nature can be exploited for microrobot motion toward the light source, or away from it.

